



Contents

Preface		ix
	PART ONE LEVERAGING OPPORTUNITIES	1
CHAPTER 1	Introduction: How UNIX Gave Birth to Linux, and a New Software Paradigm	3
	In the Beginning Was the Word, and the Word Was UNIX	3
	Along Comes Linux	6
	Now, What Is Open Source?	7
	And This Is Just the Beginning	9
CHAPTER 2	Free Software and Open Source	11
	Viruses and Freedoms	11
	Philosophy of Free Software	13
	Open Source Initiative	18
	Mozilla Foundation	18
	Linus Torvalds	19
	Definitions: Free Software and Open Source	21
	What's in a Name? The Viral and the Nonviral	22
	Open Source Development Model	25
CHAPTER 3	Common Open Source Licenses and Their Structure	27
	Direct Licensing	29
	GPL	29
	GPL + Exception (or Special Exception)	39
	GPL + FLOSS Exception	40
	LGPL	40
	Corporate Hereditary Software Licenses	41
	Other Hereditary Software Licenses	43
	Permissive Licenses	43

	Apache 1.0	46
	Apache 1.1	46
	Apache 2.0	46
	Artistic License	46
	Miscellaneous Licenses	47
	Non-Software Licenses	49
CHAPTER 4	Due Diligence, License Proliferation, and Compatibility	53
	What Is the Problem with Combining Software?	53
	What Is Due Diligence?	54
	License Conditions and Diligence Problems	57
	License Compatibility	59
	Choices in an Incompatible World	62
	An Embarrassment of Riches?	66
	Reusability	69
CHAPTER 5	Audits and Compliance Initiatives	71
	Provenance and Objective Checking	72
	Applying Policy and Legal Review	74
	Some Nuts and Bolts	76
CHAPTER 6	Notice Requirements	83
CHAPTER 7	Patents and Open Source	89
	Patent Debate	89
	Patent Portfolio Management	98
CHAPTER 8	Trademarks and Open Source	109
	Trademark Law and Open Source Licensing	109
	Trademarks in the Open Source World	111
	AT&T UNIX Battle	112
CHAPTER 9	Open Source and Open Standards	115
CHAPTER 10	Developing a Corporate Open Source Policy	119
APPENDIX 10A	Open Source Corporate Policy	123
CHAPTER 11	Open Source Code Releases	135
	Choosing a License	136
	Effect on Patent Portfolio	139
	Effect on Trademarks	140
	Open Source Business Models	142
	Dual Licensing	143
	“Ur-Licensors” and Open Source Decision Models	146
	Contribution Agreements	146

	Reissuing Code	150
	Corporate Organization	150
APPENDIX 11A	Open Source Trademark Policy	153
	PART TWO UNDERSTANDING RISKS	159
CHAPTER 12	Technical Background: Operating System Kernels, User Space, and Elements of Programming	161
	What Is the Difference Between an Application and an Operating System?	163
	What Is an Operating System Kernel?	164
	What Is an Application?	165
	Dynamic and Static Linking, and Inline Code Header Files	166
	Monoliths and Loadable Kernel Modules	169
		170
CHAPTER 13	Enforcement of Open Source Licenses	171
	Past Enforcement	171
	Enforcement Obstacles	176
	Lack of Track Record: GPL Has Never Been Tested in Court	176
	Waiver/Estoppel: Occasional and Selective Enforcement of GPL Means It Is Unenforceable	177
	Formation: GPL Is Not Validly Accepted by Licensees	177
	GPL Constitutes Copyright Misuse	178
	Joint Work Arguments	179
	Standing and Joinder Arguments	180
CHAPTER 14	The Border Dispute of GPL2	183
	Defining the Border Dispute	183
	What the GPL Says	184
	Rules of Contract Construction	186
	Applying the Four Corners Rule to GPL2	188
	Applying the Rules of Contract Construction of GPL2	190
	Trade Usage and Other Extrinsic Evidence	191
	Derivative Works Question	192
	The Facts	195
	Legal Rules	196
	Analyzing the Case of Two Works	200
	Is the Result One or Two Works?	205
	Policy Arguments	206

	Non-U.S. Law Interpretations	207
	Approach of Legal Realism	208
	Outside the Four Corners	209
	Loadable Kernel Modules	212
	The Hardest Cases	216
	LGPL Compliance	217
CHAPTER 15	License or Contract?	223
	Contract Formation	223
	Arguments Supporting Formation	225
	Implications of Absence of Contract Formation	226
	Incentives for Formation Arguments	229
CHAPTER 16	Defining Distribution	233
CHAPTER 17	Open Source in Mergers and Acquisitions and Other Transactions	237
	Open Source in Licensing and Commercial Transactions	241
	Development Agreements	242
CHAPTER 18	GPL Version 3.0	245
	What Is the Effect of the Release of GPL3?	245
	Adoption of GPL3	247
	Politics and Context	248
	“Derivative Works” Problem	251
	“Propagation” and “Conveying”	252
	Patents	252
	Digital Millennium Copyright Act Provisions	255
	“Java Problem”	257
	Disabling and Obfuscation	257
	ASP Problem	258
	License Compatibility	259
CHAPTER 19	LGPL Version 3.0	261
	New Approach for LGPL	261
	Adoption of LGPL3	261
	Politics and Context	262
	Definitions	262
	Compliance	262
	Drawbacks	264
APPENDIX A	Open Source Development Agreement	265
	Glossary	277
	Index	283