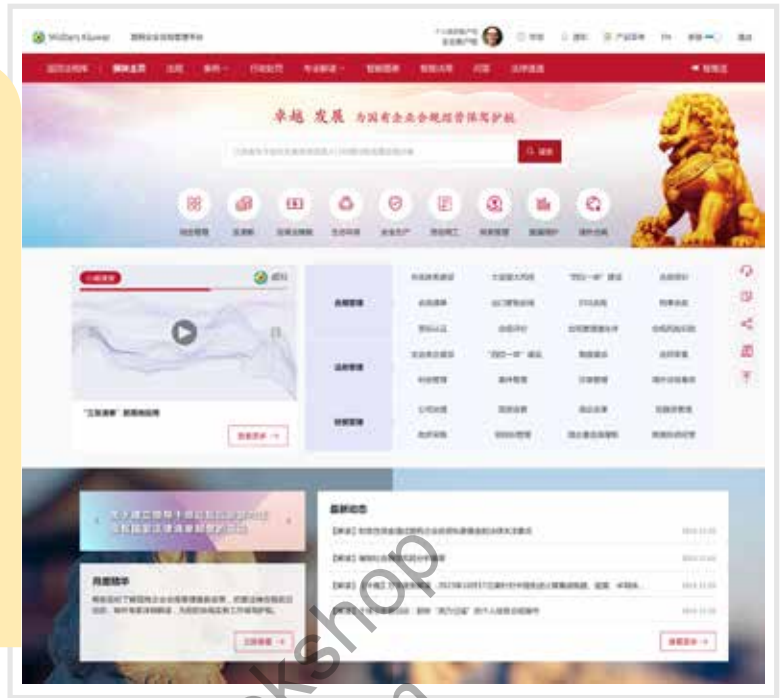


威科先行法律信息库 国有企业合规管理平台

——为央企国企合规经营保驾护航



国有企业合规管理平台是威科集团专为我 国央 企 国 企 合 规 管 理 与 建 设 实 务 工 作 打 造 的 一 站 式 信 息 解 决 方 案。根 植 于 央 企 国 企 合 规 管 理 的 实 践 需 求、聚 焦 央 企 国 企 管 理 运 行 中 的 重 点 合 规 领 域，国 有 企 业 合 规 管 理 平 台 力 求 为 央 企 国 企 合 规 工 作 提 供 高 效、全 面、实 操 的 智 慧 指 引；并 借 助 威 科 集 团 先 进 的 信 息 服 务 技 术 及 经 验，赋 能 央 企 国 企 合 规 工 作 的 高 效 开 展，为 央 企 国 企 合 规 经 营 保 驾 护 航。



核心价值与功能

紧跟国家政策要求、涵盖重点合规领域

涵盖**综合管理、反垄断、反商业贿赂、生态环保、安全生产、劳动用工、税务管理、数据保护、境外合规**等《中央企业合规管理办法》中明确的重点合规领域



多栏目矩阵，为央企国企合规工作提供全方位支撑

集**法规与案例、法律速递、专业解读**（实务指南、专题、文章、内训资料）、**智能化工具**（司法大数据可视化、智能图表、智能决策）等栏目及功能于一体，整合信息资源、引入专家智慧、打造央企国企合规智库产品





针对合规管理、法务管理、经营管理三大场景提供实务工作要点指引

以助力央企国企合规、内控、风险、法务的一体化管理工作为目标，一站式梳理**合规管理**、**法务管理**、**经营管理**三个方面的实务工作要点，内容一键直达，有效提升央企国企法务合规管理工作效能

合规管理	合规体系建设	大监督大风控	党内法规制度	合规培训
	合规清单	出口管制合规	ESG合规	刑事合规
	熏标认证	合规评价	合规管理优化库	合规风险识别
法务管理	法治央企建设	“四位一体”建设	制度建设	合同审查
	纠纷管理	案件管理	印章管理	境外法规查询
经营管理	公司治理	国资监管	国企改革	投融资管理
	政府採購	招投标管理	国企董监高履职	跨境投资经营

由点及面，让专项领域合规工作有体系、有层次地高效开展

对9个重点合规领域分别提供精准信息和专业有效的实务解决方案，更深入更聚焦，价值内容高效传达，满足央企国企专项合规工作的切实需求



深度解读、专业指引，给予央企国企可落地的合规方法论

由业内专家对央企国企合规热点、焦点问题进行深入剖析，提供丰富、多视角、可落地的精华指引，体量丰富、内容全面，全面赋能央企国企合规实务工作

【合规专栏】



合规体系建设专栏：

分为合规基本理论、政策要点解读、跨境合规实务、风险筛查工具、合规内训模板五大栏目，帮助央企国企落实日常合规工作实施与管理



党内法规制度专栏：

在充分考量国有企业党建工作切实需求的基础上，梳理整合党内法规制度并予以精细化主题分类，高效助力国有企业党建工作开展



企业合规管理研究专栏：

涵盖合规管理体系全流程建设中关键节点的关键问题，以及贯标认证、内部调查、监管应对咨询等合规细分领域的经验分享



企业合规文化专栏：

探讨建立企业合规文化的必要性、面临的挑战，并细致阐述建立企业合规文化的关键点、企业合规管理与企业文化衔接途径及合规管理对合规文化建设的作用等重要方面



“大监督、大风控”专栏：

一站式汇总全国纪检监察机关网站入口、梳理政策文件及实务解读，为国有企业探索构建“大监督、大风控”管理体系提供参考



出口管制与贸易合规专栏：

聚焦中国企业“走出去”贸易合规问题与风险，深度解读东道国的出口管制与外商投资政策，并就中国企业规避相应风险提出实务建议

【热点话题】



国资国企法律法规汇编：

针对国资国企和中央企业 2 个部分相关法律法规进行分别汇编，每个部分各分为 7 大板块 18 个细分类，内容全面，时效性强，供相关人士进行一站式查询



国有资产监管系列专题：

汇集专业智慧，体系化梳理监管法律法规、多维度解读国有资产监管重点及主要法律问题



国有企业改革系列专题：

解读国企改革政策、洞悉国企改革趋势；结合国企改革典型案例，深度剖析国企改革的创新发展思路，为国有企业改革提供经验与参考



丰富的清单模板快捷工具，让复杂的时间简单化

对于需要花费大量人力和时间查找和整理的工作，国有企业合规管理平台提供深度梳理的清单和模板类内容，提高工作效率



合规风险识别清单速查工具：

对“公司治理、财务与投资、党建、法务管理、工程管理、规划发展、内部监督、人力资源”八个合规场景下的主要风险事项提供快速查询及图表化呈现



对俄制裁、管制措施查询工具：

提供美国、欧盟及其他国家对俄罗斯管制与制裁措施的更新信息快速查询。从地域、措施类型、时间等维度帮助用户快速了解对俄制裁最新动态



合同审查风险清单：

梳理汇总 20 类型常用合同、150 余个审查风险要点，助力律师及法务在审查特定类型合同时，利用风险清单比对法逐项排查主要风险点，提高合同审查质量



制度模板汇编：

特邀具有丰富法务与合规风控管理实务经验的专家汇编法务、合规、风险、内控 20 余个全面管理及专项管理制度模板，助力央企国企制度建设



50 余部法律合规专业报告，累计超 200 万字

全面覆盖合规体系建设、国资监管、国企改革、跨境投资、数据保护、反垄断、反商业贿赂、知识产权、税务合规、劳动用工、海关贸易、环境与安全、招投标、投融资等领域及话题，为央企国企提供体系化、实操性、可落地的专业指引

域外法规查询专项入口

地域、语言等因素的限制以及信息的不对称，对国有企业“走出去”造成很大挑战。域外法规查询专项入口整合全球投资政策与信息指引查询资源，为企业“走出去”的前期准备工作提供有力支持

央企国企合规实务视频学习与培训资源

整理发布由威科先行与权威律师共同举办的线上 / 线下实务研讨会的视频学习资源及文字资料，聚焦央企国企经营管理热点话题。助力央企国企合规培训的有序开展，为培育合规文化贡献素材

央企国企合规专家问答服务

邀请具有丰富央企国企法律服务经验的专业律师，通过“专家问答”提供实务问题的快速解决通道，让合规实务工作畅通无阻

权威专家保驾护航，知识来源更专业



吴巍
金杜律师事务所



刘相文
中伦律师事务所



郭青红
汇业律师事务所



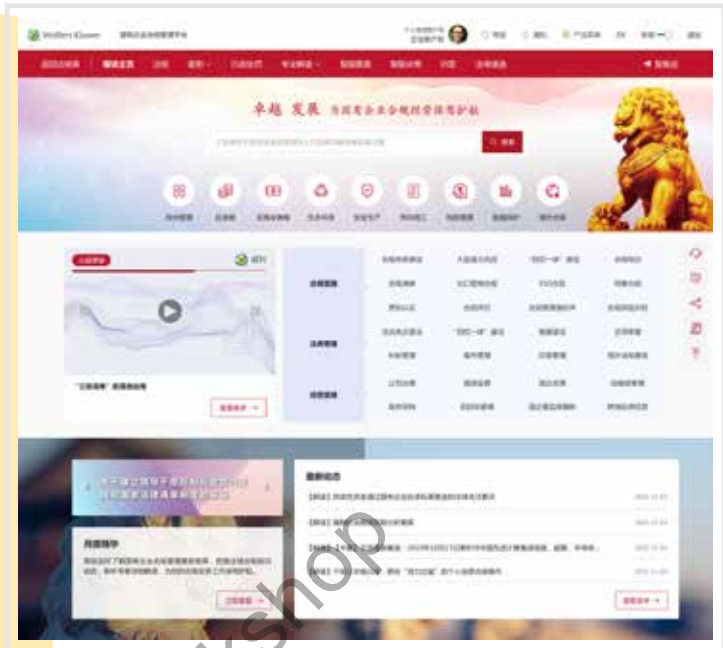
周治成
中国国际技术智力
合作集团有限公司

Wolters Kluwer China Law & Reference **SOE Compliance Management Platform**

—Escort Centrally-administrated State-owned Enterprises (Central SOEs) and State-owned Enterprises (SOEs) for Compliant Operations



The SOE Compliance Management Platform is a one-stop information solution developed by Wolters Kluwer for the compliance management and construction of central SOEs and SOEs in China. Rooted in the practical needs of the compliance management of central SOEs and SOEs, and focusing on the key compliance areas in their management and operation, the SOE Compliance Management Platform is committed to providing efficient, comprehensive and practical wisdom guidance for the compliance work of central SOEs and SOEs, and with the help of Wolters Kluwer's advanced information service technology and experience, it enables central SOEs and SOEs to carry out their compliance work efficiently and guides their compliance operation.



Values and Highlights

Stay on top of national policy requirements in key compliance areas

It covers key compliance areas specified in the Measures for the Compliance Management of Centrally-administrated State-owned Enterprises, such as **integrated management, anti-monopoly, anti-commercial bribery, ecological and environmental protection, production safety, labor and employment, tax management, data protection, and overseas compliance.**



Multi-column matrix to create a compliance think tank for central SOEs and SOEs

It integrates sections and functions such as **regulations and cases, legal updates, professional interpretation** (practice guides, special topics, articles, internal training materials), and smart tools (judicial big data visualization, smart diagrams, smart decision-making), complemented by integrated information resources and expert wisdom, to provide great support for central SOEs and SOEs in their compliance work.





Provide guidance on practical work priorities for three major scenarios: compliance management, legal management and operations management

Aimed at assisting central SOEs and SOEs in the integrated management of compliance, internal control, risk, and legal affairs, it provides a one-stop summary of practical work priorities in **compliance management, legal management, and operations management**, with content accessible at the click of a button, effectively enhancing the efficiency of central SOEs and SOEs in their legal compliance management.

合规管理	合规体系建设	大监督大风控	党内法规制度	合规培训
	合规清单	出口管制合规	ESG条款	刑事合规
	贯标认证	合规评价	合规需要强化项	合规风险证明
法务管理	法治央企建设	*“四位一体”建设	制度建设	合同审查
	纠纷管理	诉讼管理	印章管理	境外法域咨询
经营管理	公司治理	国资监管	国企改革	投融资管理
	政府关系	招投标管理	国企董监高履职	跨境投资经营

Expand from a single point so that compliance work in specific areas can be done efficiently in a systematic and hierarchical manner

Provide accurate information and professional and effective practical solutions for each of the 9 key compliance areas that are more in-depth and focused, with value delivered efficiently to meet the practical needs of central SOEs and SOEs in their special compliance work.



In-depth interpretation and professional guidance to provide actionable compliance methodology for central SOEs and SOEs

We provide in-depth analyses from industry experts on compliance hotspots and focal topics for central SOEs and SOEs, which are large in volume and comprehensive in content, serving as **multi-perspective and select actionable guidance** to support their practical work of compliance.

[Compliance]



Year of Strengthening Compliance Management:

Following the policy hotspots and focusing on the hot content of the year of strengthening SOE compliance management, we published a collection of Party regulations, policy interpretations, in-depth topics, video courses and other professional content.



Construction of the Compliance System:

This section is divided into five columns: basic compliance theory, interpretation of policy points, cross-border compliance practices, risk screening tools, and internal compliance training templates, which help central SOEs and SOEs to implement daily compliance implementation and management.



Rule of Law Construction of Central SOEs and SOEs:

We have collected and published relevant work developments, important documents, typical cases, successful experiences and other information from the State-owned Assets Supervision and Administration Commission of the State Council, so as to help SOEs better carry out the construction of central SOEs under the rule of law, and learn from the successful experience of benchmarking enterprises with “world-class” management improvement measures.



Party Laws and Regulations:

Taking into account the practical needs of the Party building work of SOEs, we have collected the Party's internal regulations and systems and divided them into specific topics to help SOEs effectively in their Party building work.



“Macro Supervision and Macro Risk Control”:

We provide a one-stop summary of the website portals of national discipline inspection and supervision organs, policy documents and practical interpretations, which serve as references for SOEs to explore how to build a “macro supervision and macro risk control” management system.



Export Controls and Trade Compliance:

Focusing on the trade compliance issues and risks of Chinese companies going global, we provide in-depth interpretations of host countries' export control and foreign investment policies, as well as practical suggestions for Chinese companies to avoid related risks.

[Hot Topics]



“Three Lists” Series of Topics:

We systematically elaborate the key points of identifying compliance risks, risk warnings of job responsibilities, and key points of risk control practice throughout the process from policy interpretation, case studies, production process, and other aspects.



Series of Special Topics on SOE Criminal Compliance:

Through case studies and practical research, we explain in detail the underlying theories and practical points of SOE criminal compliance, and help central SOEs and SOEs establish an effective compliance program to prevent and control criminal compliance risks.



Series of Special Topics on the Regulation of State-owned Assets:

We have a collection of professional wisdom, a systematic summary of the regulatory laws and regulations, and multi-dimensional interpretations of priorities in the regulation of state-owned assets and major legal issues.



Series of Special Topics on SOE Reform:

We provide interpretations of SOE reform policies and insights into the trend of SOE reform; and with reference to typical SOE reform cases, we provide in-depth analysis of innovative development ideas of SOE reform, so that SOEs can learn from them and use them as a reference for their reforms.



Shortcut tool to rich list templates for ease of use

For labor-intensive and time-consuming search and organization tasks, the SOE Compliance Management Platform provides categorized lists and content templates to improve work efficiency.



Quick Search Tool for Compliance Risk Identification Lists:

The platform provides quick-search and graphical representation of key risk issues across eight compliance scenarios: corporate governance, finance and investment, Party building, legal management, project management, planning and development, internal audit, and human resources.



Search Tool for Sanctions and Controls Against Russia:

The platform provides quick access to up-to-date information on the controls and sanctions imposed on Russia by the United States, the European Union and other countries, in order to keep users informed about the latest developments in sanctions against Russia in terms of geography, types of measures, time and other aspects.



Contract Review Risk List:

The platform has a collection of 20 types of commonly used contracts and more than 150 review risk points, which helps lawyers and legal professionals identify key risk points by comparing against the risk lists one by one when reviewing specific types of contracts, and improve the quality of contract review.



Compilation of Template Systems:

Experts with rich experience in legal affairs, compliance and risk control management were invited to compile more than 20 templates of comprehensive and special legal affairs, compliance and risk and internal control management systems, which will help central SOEs and SOEs to build their systems.



More than 50 specialized legal compliance reports with a cumulative total of more than 2 million words

The platform provides systematic, practical and actionable professional guidance for central SOEs and SOEs by presenting information on areas and topics such as compliance system construction, state-owned asset supervision, SOE reform, cross-border investment, data protection, anti-monopoly, anti-commercial bribery, intellectual property rights, tax compliance, labor and employment, customs and trade, environment and safety, bidding, investment and financing.

Special Portal for Searching Extraterritorial Laws and Regulations

Geographic and language constraints, as well as information asymmetries, pose significant challenges for SOEs going global. The special portal for searching extraterritorial laws and regulations integrates the resources for querying global investment policies and information guidelines, and provides powerful support for companies in their early preparation for going global.

Central SOE Compliance Practice Video Resources for Learning and Training

The platform collected and published the video learning resources and text materials of the online/offline practical seminars co-organized by Wolters Kluwer and senior lawyers, focusing on the hot topics in the operation and management of central SOEs and SOEs, to help central SOEs and SOEs to carry out compliance training in an orderly manner and serve as materials to cultivate a culture of compliance.

Expert Q&A on Central SOE Compliance

Professional lawyers with extensive experience in providing legal services for central SOEs and SOEs are invited to provide quick solutions to practical problems through “Expert Q&A” to facilitate the practical work of compliance.

Authoritative experts provide support to ensure more professional sources of knowledge



Wu Wei
King & Wood
Mallesons



Liu Xiangwen
Zhong Lun Law Firm



Guo Qinghong
Hui Ye Law Firm



Zhou Zhicheng
China International
Intellectech Co.,Ltd.