

Contents

Foreword..... v
Preface to Second Edition..... vii
Table of Cases..... xv
Table of Statutes..... xxvii
Table of Practice Directions..... xxxv

Chapter 1 Introduction..... 1

 Stages of the process of matters through the courts..... 1

 Magistrates' Courts..... 1

 District Court..... 1

 Court of First Instance..... 1

 The criminal charge..... 1

 Bail..... 3

 Police bail..... 3

 Bail to bring accused to court..... 3

 Operational bail..... 3

 Magistrates' bail..... 3

 Jurisdiction and venues for hearing..... 4

 Trial or plea in the Magistrates' Court..... 4

 Transfer to the District Court..... 4

 Committal to the Court of First Instance..... 5

 VoiR Dires..... 5

 Plea in mitigation..... 5

 Costs..... 5

 Appeals..... 5

Chapter 2 Bail..... 7

 Who can grant bail?..... 8

 Police bail..... 8

 Court bail..... 9

 Common conditions for bail granted by a magistrate or judge..... 10

 Recognisance..... 10

 Cash paid by the accused or his surety..... 10

 Failing to surrender to custody of the court..... 11

 When does a magistrate consider bail?..... 12

 When does a District Court judge consider bail?..... 13

 When does a Court of First Instance judge consider bail?..... 13

| | |
|--|-----------|
| Bail hearings and admissibility of evidence at trial | 15 |
| Bail in the Court of Appeal and the Court of Final Appeal | 15 |
| Reasons for refusing bail | 17 |
| Chapter 3 Police Powers | 21 |
| Arrest | 21 |
| Pre-arrest powers | 21 |
| The power to stop, detain and search a person; section 54 | 21 |
| Police Force Ordinance | 21 |
| Pre-arrest questioning | 23 |
| Searching for stolen goods in cars and on persons | 25 |
| Searching premises | 25 |
| Search of premises with a warrant | 25 |
| Other relevant provisions relating to searches | 26 |
| Search of premises without a warrant | 27 |
| General principles of search and seizure | 27 |
| Covert surveillance | 28 |
| Arrest with a magistrate's warrant | 29 |
| Arrest without a warrant | 29 |
| Means to effect arrest | 31 |
| Arrest by ICAC officers | 31 |
| Citizens' power of arrest | 32 |
| General principles of arrest | 32 |
| Post-arrest duty to deliver arrested person to police station | 33 |
| Resisting arrest | 33 |
| Remedies for abuse of police powers | 33 |
| The 1992 rules and directions for the questioning of suspects and the taking of statements | 34 |
| The Principles | 34 |
| Rule 1 | 35 |
| Rule 2 | 35 |
| Rule 3(a) | 37 |
| Rule 3(b) | 38 |
| Rule 3(c) | 38 |
| Rules 4 and 5 | 38 |
| Rule 6 | 39 |
| The Directions | 39 |
| Police General Orders | 40 |
| The right to legal representation | 40 |
| Chapter 4 Classification of Offences | 43 |
| The Magistrates' Court | 43 |
| Indictable offences | 44 |
| Summary offences | 45 |
| Permanent and special magistrates | 45 |

| | |
|--|-----------|
| The District Court | 46 |
| The Juvenile Court | 46 |
| The Court of First Instance | 47 |
| The Court of Appeal | 47 |
| Types of appeals | 47 |
| The Court of Final Appeal | 48 |
| Commencing proceedings | 48 |
| Time limits on prosecutions | 49 |
| Discontinuing proceedings | 49 |
| Judicial Review of the Secretary for Justice's decisions | 50 |
| Chapter 5 Transfers and Committals | 53 |
| Transfers to the District Court | 53 |
| Transfers from the District Court | 54 |
| Transfers from the Court of First Instance | 58 |
| Committals to the Court of First Instance | 59 |
| Setting down the 'Return Day' | 59 |
| The 'Return Day' | 61 |
| The Preliminary Inquiry | 61 |
| Post committal requirements | 64 |
| The Paper Committal | 65 |
| Plea of guilty at committal | 67 |
| Voluntary bills | 67 |
| Direct committals in cases involving vulnerable witnesses | 68 |
| Direct committals in cases involving complex commercial crimes | 70 |
| Chapter 6 Charges, Indictments and the Trial | 73 |
| Charges and counts on the indictment | 73 |
| The plea | 76 |
| Discontinuing proceedings | 77 |
| Stay of proceedings | 78 |
| Empanelling the jury | 79 |
| The prosecution's opening of the case | 82 |
| Prosecution witnesses | 82 |
| No case to answer | 82 |
| The opening of the defence case | 84 |
| Closing submissions | 84 |
| The summing up or judge's direction to the jury | 84 |
| Jury questions | 85 |
| Discharging the jury | 85 |
| The verdict of the jury | 86 |
| The magistrate's reasons for verdict | 87 |
| The District Court judge's reasons for verdict | 88 |

| | |
|---|------------|
| Alternative verdicts | 88 |
| Accepting the verdict | 89 |
| Chapter 7 Aspects of the Trial Process | 91 |
| Formal admissions | 91 |
| Section 65B admissions: evidence by written statement | 93 |
| Section 65C admissions: proof by formal admission | 95 |
| Alibi evidence | 97 |
| Pre-trial procedures: the District Court and Court of First Instance Practice Directions | 99 |
| The prosecution's duty of disclosure | 101 |
| Disclosure before committal to the Court of First Instance | 104 |
| Disclosure in complex commercial criminal crimes cases | 105 |
| Disclosure on transfer to the District Court | 106 |
| Disclosure in summary trials | 107 |
| Vulnerable witnesses | 108 |
| Chapter 8 Voir Dires, No Case Submissions, Calling Witnesses and Identification Evidence | 113 |
| The voir dire | 113 |
| Questioning witnesses on the <i>voir dire</i> | 118 |
| Procedure on the <i>voir dire</i> | 119 |
| No case to answer | 122 |
| Section 54 of the Criminal Procedure Ordinance and calling the accused or calling defence witnesses | 122 |
| Getting witnesses to court | 123 |
| Methods of identification | 123 |
| Identification parades | 123 |
| Group identification | 126 |
| Confrontation identification | 126 |
| Dock identification | 127 |
| Fingerprints, photographs and body samples | 128 |
| Chapter 9 Sentencing | 129 |
| Theories of punishment | 129 |
| Retribution | 130 |
| Deterrence | 130 |
| Prevention/Incapacitation | 131 |
| Rehabilitation | 132 |
| Sentencing principles in hong kong | 133 |
| Maximum sentence | 133 |
| Examples of tariff | 136 |
| Increases and decreases in a tariff | 138 |

| | |
|---|------------|
| The prosecutor's role at sentencing | 139 |
| Arriving at the sentence | 140 |
| Enhanced sentences | 140 |
| Discounted sentences | 142 |
| General principles for the court to consider | 145 |
| Specific penalties | 148 |
| Bind-over orders | 148 |
| Community service orders | 148 |
| Compensation orders | 149 |
| Confiscation orders | 149 |
| Criminal bankruptcy orders | 150 |
| Deportation orders | 150 |
| Detention centre orders | 150 |
| Discharge orders | 151 |
| Disqualification orders | 151 |
| Drug addiction treatment centre orders | 151 |
| Fines | 152 |
| Forfeiture orders | 152 |
| Hospital orders | 153 |
| Juvenile offenders | 154 |
| Probation orders | 154 |
| Reformatory school orders | 154 |
| Rehabilitation centre orders | 155 |
| Restitution orders | 155 |
| Suspended sentences | 155 |
| Training centre orders | 156 |
| Appeals on sentences | 157 |
| Pleas in mitigation | 159 |
| Sentencing procedure | 159 |
| Making a plea in mitigation: role of the defence advocate | 161 |
| Factors relevant to the offence | 161 |
| Factors relevant to the offender | 162 |
| Proposing a realistic sentence | 163 |
| Summary of the plea | 164 |
| Chapter 10 Costs in Criminal Cases | 165 |
| General principles | 165 |
| Defence costs | 169 |
| Summary proceedings | 169 |
| District Court | 170 |
| Court of First Instance | 170 |
| Court of Appeal | 170 |
| Other matters | 170 |
| Prosecution costs | 171 |
| Summary proceedings | 171 |

| | |
|---|------------|
| District Court and Court of First Instance | 171 |
| On appeal | 172 |
| Legal aid | 172 |
| Duty lawyer scheme | 172 |
| Appealing costs awards | 173 |
| Wasted costs | 173 |
| Chapter 11 Appeals | 175 |
| General principles | 175 |
| Appeals from the decision of a magistrate | 175 |
| Self review under section 104 Magistrates Ordinance | 176 |
| Appeal by notice, in accordance with section 113 of the Magistrates Ordinance | 177 |
| Appeal by way of case stated, in accordance with sections 105–112 of the Magistrates Ordinance | 178 |
| Further appeals: from the Court of First Instance to the Court of Final Appeal | 180 |
| Appeal by way of case stated from a decision of a District Court judge | 180 |
| Appeals to the Court of Appeal from the District Court or the Court of First Instance | 181 |
| Appeals against conviction | 181 |
| Fresh evidence on appeal | 184 |
| Right of appeal | 184 |
| Abandoning an appeal and consequences | 184 |
| Uncontested appeals | 185 |
| Grounds for allowing the appeal | 186 |
| Orders on appeal | 188 |
| Appeals against sentence | 188 |
| Effect of an appeal on sentence | 189 |
| Applications for review and appeals by the Secretary for Justice and the Chief Executive | 189 |
| Other orders of the Court of Appeal | 190 |
| Appeals to the Court of Final Appeal | 191 |
| Appendix 1 – Statement of Prosecution Policy and Practice 2009 | 199 |
| Appendix 2 – Rules and Directions for the Questioning of Suspects and the Taking of Statements | 253 |
| Appendix 3 – Practice Directions | 263 |
| Index | 299 |

Table of Cases

| | |
|---|------------|
| A | |
| A Judge's Reservation, Re [1994] 2 HKCLR 41, [1994] HKCU 311, CA..... | 166 |
| Apple Daily Ltd v Commissioners of the ICAC (No 2) [2000] 1 HKLRD 647, [2000] 1 HKC 295, CA..... | 25 |
| Applications for Review of Certain Sentences by the Attorney-General [1972] HKLR 370, FC..... | 130 |
| Attorney-General v Ching kwok-hung [1991] 2 HKLR 125, [1991] HKCU 433, CA..... | 138 |
| Attorney-General v Dominic Cheung Kai-man [1987] HKLR 788, [1987] HKCU 209, CA..... | 146 |
| Attorney-General v Garung, Dil Bahadur [1994] 2 HKC 476, CA..... | 135 |
| Attorney General v Ho Yu-ping [1996] 1 HKC 555, CA..... | 141, 146 |
| Attorney-General v Ip Chong Kwan and Ors [1979] HKLR 141, [1979] HKCU 4, CA..... | 187 |
| Attorney-General v Jim Chong-shing [1990] 1 HKLR 131, [1990] HKCU 281, CA..... | 140 |
| Attorney-General v Kwong Kin-wing [1989] 2 HKLR 3..... | 149 |
| Attorney General v Leung Chi Kin [1974] HKLR 269, [1974] HKCU 14, FC..... | 179 |
| Attorney-General v Leung Ka Ki [1997] 1 HKC 44, HC..... | 166, 173 |
| Attorney-General v Wong Kwok-wai [1991] 2 HKLR 384, [1991] 1 HKC 258, CA..... | 158 |
| Attorney-General v Yim Yee-kwong [1981] HKC 101, CA..... | 147 |
| Attorney General's Reference (No 1 of 1987) [1988] 1 HKLR 375, [1988] HKCU 319, CA..... | 83, 122 |
| B | |
| Baker v Campbell (1983) 153 CLR 52..... | 26 |
| C | |
| C (A Bankrupt) Re [2006] 4 HKC 582, CA..... | 50, 51 |
| Cai Zong Gang v HKSAR (2009) 12 HKCFAR 494, [2009] HKCU 339, CFA..... | 86 |
| Castorina v The Chief Constable of Surrey (1988) 138 NLJ Rep 180..... | 22, 27, 29 |
| Chan Ching Chi v R [1965] HKLR 598, [1965] HKCU 43, HC..... | 92 |
| Chan Sze-shing v Attorney-General [1980] HKLR 550, CA..... | 30 |
| Chan Tak Ming v HKSAR [2011] 1 HKLRD 766, [2011] 2 HKC 71, CFA..... | 73 |