

Table of Contents

Preface	V
Content Overview	IX
List of Contributors	XI
List of Abbreviations and Acronyms	XIII
Chapter 1. REACH AND POLITICS – A REVIEW OF EARLY EXPERIENCE WITH THE IMPLEMENTATION OF THE EU’S REGULATORY REGIME ON CHEMICALS	1
I. Introduction	1
II. Evaluation (REACH Title VI)	3
1. Process description	3
2. Analysis	4
III. Authorisation: Candidate Listing and Prioritisation (REACH Title VII, Chapter 1, Art. 55 to 59)	4
1. Candidate listing	5
a) Process description	5
b) Analysis	6
2. Prioritisation	7
a) Process description	7
b) Analysis	8
IV. Restriction (REACH Title VIII)	9
1. Process description	9
2. Analysis	10
V. Conclusion	10
Chapter 2. SCOPE OF REACH	11
I. General Scope	13
1. General concept	13
2. Substances	14
3. Mixtures	15
4. Articles	15
a) The function of an object	16
b) The shape, surface and design of an object	16
c) Differentiation problems	16
5. Manufacturing	18
6. Placing on the market	18
7. REACH and nanomaterials	19
a) Definition	19
b) Potential	19
c) Risks	20
d) Nanomaterials as separate substances or appearance of bulk substances ..	20
e) Further research and implementation	20
II. General Exemptions from REACH	21
1. Radioactive substances	21
2. Substances under customs supervision	21
3. Non-isolated intermediates	22
4. Transported substances	22

Table of Contents

5. Waste	23
a) Waste versus product	23
b) By-products	23
c) End-of-waste	24
d) Emissions and waste waters	24
e) Chemical Safety Assessment	25
6. Exemptions in the interest of defence	25
III. Exemptions from Parts of REACH	25
1. Objectives	25
2. Exemptions from the information requirement	26
a) Collective requirement of finished state and intention for final user	26
b) Medicinal products	26
c) Cosmetic products	27
d) Medical devices	28
e) Food and feedingstuffs	28
3. Exemptions from the registration requirement and other requirements	29
a) Overview of the requirements affected	29
b) Substances used in medicinal products	29
c) Substances used in food and feedingstuffs	29
d) Substances for which sufficient information is available (Annex IV)	30
e) Substances not impacting the aims of REACH (Annex V)	30
f) Re-imported items	34
g) Recovered items	35
h) Certain isolated intermediates	36
i) Polymers	37
j) Substances used for SR&D and PPOD	40
4. Substances regarded as registered	41
a) Overview	41
b) Active substances for use in biocides	41
c) Active substances for use in plant protection products	42
d) Notified substances according to Directive 67/548/EEC	43
IV. Relationship to other legislation	44
1. Workplace and environmental legislation	44
a) Workplace legislation	44
b) RoHS	45
c) WEEE Directive	46
2. Cosmetic products legislation	46
3. Toys legislation	49
Chapter 3. REGISTRATION OF SUBSTANCES	51
I. Introduction	51
1. Who has to register?	52
2. What has to be registered?	53
a) Phase-in-substances	53
b) Non-Phase-in-substances	54
c) Recovered waste	54
3. REACH implementation timeline	54
II. Preparation for Dossier Generation	55
1. Inquiry procedure	55
2. 12-Years rule	58
3. Data gathering	59
4. Data access	61
5. Letter of access	62
6. Quality of data	63
III. Registration Dossier	64
1. The core of REACH	64
2. IUCLID 5	67
a) Purpose	67

Table of Contents

b) Structure and features of IUCLID 5	69
3. Confidentiality claims	78
4. Technical Completeness Check (TCC)	79
IV. Chemical Safety Assessment	82
1. Introduction to risk analysis	82
2. Hazard assessment	83
3. Exposure assessment	84
4. Risk assessment	88
5. Chemical Safety Report (CSR)	89
V. Registration Dossier Submission	90
VI. Fees and Payment	92
1. ECHA fees	92
2. Payment procedure	92
3. Registration number	93
VII. Registration Dossier Update	94
VIII. Annexes	96
Annex 1. Example ECHA Communication on Inquiry Dossier	96
Annex 2. Example of Letter of Access for Data Sharing	103
Annex 3. Example of ECHA Invoice	104
Chapter 4. STATUS OF NON-COMMUNITY MANUFACTURERS AND THE ONLY REPRESENTATIVE	105
I. Introduction	105
II. Non-Community Manufacturers under REACH	107
1. Advantage and disadvantages of appointing an Only Representative	108
2. Guidance for appointing an Only Representative	109
III. Non-EU Distributors and Non-EU Traders under REACH	110
IV. EU-Importer	111
V. The Only Representative	113
1. Roles and responsibilities of an Only Representative	114
a) Tracking of substances, importers and re-import	117
b) Safety Data Sheet responsibility	120
c) Responsibilities under CLP Regulation	121
d) Submission of an authorisation dossier	123
e) SME verification procedure	125
2. Is the OR an importer?	126
3. Qualifications of the Only Representative	127
4. Change of an Only Representative	128
5. ORO – The Only Representative Organisation AISBL	129
VI. Other EU-representatives – is the OR Concept New?	133
VII. Annexes	141
Annex 1. Example on Communication from NCM to Importers on OR-Appointment ...	141
Annex 2. Example of OR-Appointment by NCM	142
Annex 3. REACH Article 8	143
Annex 4. Example of Only Representative Coverage Statement	144
Annex 5. Example of Only Representative Import Certificate	145
Annex 6. ORO Position Paper on Safety Data Sheet Responsibility	146
Annex 7. Example SME-Declaration by NCM	149
Annex 8. Example Document OR Change – Appointment from NCM	150
Annex 9. Example Document OR-Change – OR Transfer Declaration	151
Chapter 5. JOINT SUBMISSION	153
I. General Introduction	153
1. Commission’s proposal for REACH	154
2. OSOR – one substance: one registration	154
3. Joint registration, REACH Articles 11 and 19	156

Table of Contents

II. Individual and Joint Registration Dossiers	156
1. Information in the individual registration dossier	157
2. Information in the joint registration dossier – mandatory part	158
3. Information in the joint registration dossier – optional part	159
a) Chemical Safety Report (CSR)	159
b) Guidance on safe use	162
III. Lead Registrant and Co-registrants	162
1. Lead Registrant	163
a) Who can be Lead Registrant?	163
b) Lead Registrant responsibilities	164
3. Co-registrant	165
4. Selected examples of potential issues related to joint submission	166
a) ‘Agreement of other assenting registrants’	166
b) One registrant in a joint submission?	166
c) Exceptional scenarios	166
IV. Opting out	167
1. Disproportionate Costs	168
2. Protection of Confidential Business Information	168
3. Disagreement with the Lead Registrant on the selection of information	169
4. Consequences of opting out	169
V. Final Notes	170
Chapter 6. DATA SHARING IN THE SIEF	171
I. Introduction	172
II. From Pre-SIEF to SIEF	173
1. Introduction	173
2. Members of the pre-SIEF/SIEF	173
3. Sameness discussions	174
4. Confidential business information	175
5. Formation of the SIEF	176
III. SFF – SIEF Formation Facilitator	177
IV. Data-Sharing Procedures	178
1. Data sharing in the case of phase-in substances which have been pre-registered	178
a) Gathering information	179
b) Agreement on data and cost sharing	179
c) Information gathering	180
d) Evaluation of data	181
e) Consideration of information requirements	181
f) Identification and filling of data gaps	181
g) Generation of new information	181
h) Data and cost sharing	182
i) Joint submission	184
2. Resolving data-sharing Disputes in the case of phase-in substances	184
3. Data sharing in the case of non phase-in substances or phase-in substances that have not yet been (late) pre-registered	186
4. Resolving data-sharing disputes in the case of non phase-in substances or phase-in substances that have not yet been (late) pre-registered	188
V. Avoiding Unnecessary Testing	188
1. Where testing does not appear to be scientifically necessary	189
2. Where testing is not technically possible	190
3. Where substance-tailored exposure driven testing can be applied	190
VI. Current SIEF Issues	190
1. Competition law and data sharing	190
2. Only representatives/third party representatives and data sharing	192
3. Is REACH a trade barrier?	193
4. REACH review	193

Table of Contents

Chapter 7. FORMATION AND OPERATION OF CONSORTIA	195
I. Introduction	196
II. From SIEF to Consortia	197
1. Cooperation in small SIEFs	198
a) Forum with no binding rules	198
b) Bilateral and multilateral agreements regarding specific aspects	199
2. Cooperation in SIEFs with a high number of participants	200
a) Corporate entity	200
b) European Economic Interest Grouping	201
c) Association	201
d) Consortium	202
3. Differences between consortia and SIEF	203
4. Utilising Consortia for REACH Obligations	203
III. Consortia Framework	204
1. Introduction: Consortia agreements	204
2. Structure, scope and purpose	205
3. Membership and organisational structure	206
a) Membership	207
b) Organisational structure	208
c) Assembly	209
d) Dual managerial structure	209
e) Other bodies	210
4. Individual obligations	213
5. Data sharing	213
a) Existing data	213
b) New data	213
c) Letter of access	214
d) Licence to use	214
6. Cost sharing	215
a) Requirements for cost sharing under REACH	215
b) Bodies responsible for cost-sharing decisions	216
c) Cost Allocation Formulas	216
d) Late-joiner's fee	218
7. Confidentiality	219
8. Limitation of liability	220
9. Duration	221
10. Competition compliance	221
11. Dispute resolution and applicable law	221
IV. Operational Issues for Consortia	222
1. Competition law and consortia	222
a) Article 101 TFEU	222
b) Article 102 TFEU	223
c) Direct competitors; sharing data and membership	223
d) Disadvantaging competitors	224
e) Closed membership	225
f) Internal and external discrimination	227
g) Collusion	227
h) Competition compliance clauses in consortia agreements	228
2. Communication with SIEFs	229
3. Disputes between existing or potential consortium members	229
a) Disclosing identity: TPRs and ORs	229
b) Expulsion of members for breaches	231
c) Advantage compensation	232
d) Excessive fees for LOA	233
4. Tax issues	233
5. Amending consortia agreements	234
6. Expanding the scope of consortia	235

Table of Contents

V. Annexes	236
Annex 1. Template Table of Contents for Consortia Agreements	236
Annex 2. Letter of Access for Referral	237
Annex 3. Compliance with EU Competition Law	238
Annex 4. Deed	239
Chapter 8. SUBSTANCES IN ARTICLES	241
I. Overview	242
II. Basic Concepts	244
1. Articles	244
2. Distinction of articles and substances/mixtures	244
a) Aluminium foil	245
b) Other examples	246
3. FLOWCHART: Classification of an object as an article	247
4. Intended release	248
5. Substances of very high concern	250
a) SVHCs	250
b) Candidate List	251
III. Flowchart: Obligations Relating to Substances in Articles	254
IV. Registration Obligations	254
1. General registration obligation	255
a) Calculating the relevant amount	255
b) Intended release	256
c) Substances already registered	256
d) Phase-in substances	257
e) Result	257
2. Optional registration obligation following an ECHA decision	257
V. Notification Obligation	257
1. Determination of the concentration of SVHC	258
a) ECHA's view	258
b) Dissenting opinion of several Member States	258
c) The European Commission's view	259
d) How to handle components in practice?	260
e) Conclusion	261
f) Calculation for more than one article	262
2. Determination of the total amount of SVHC	262
3. Exclusion of exposure	262
4. Substances already registered	263
5. Information to be notified	264
6. Deadlines	264
7. Data on Candidate List substances in articles	264
VI. Information Obligations	265
1. Information obligations of the supplier of an article	265
2. Information obligation of the supplier to consumers	265
3. Information to be supplied	265
4. Aim of the information requirements	266
5. Requirements and exemptions	266
6. Concentration calculation	266
7. Packaging material	267
8. Relevance of Candidate List inclusion date	267
VII. Restriction and Authorisation	267
1. Restrictions	267
a) Under REACH	267
b) Under other legislation	268
2. Authorisation	268
VIII. Liability	268
1. Liability for non-registration	269

Table of Contents

2. Liability for non-notification	270
3. Liability for non-compliance with information obligations	270
Annex 1. CANDIDATE LIST	272
Annex 2. ANNEX XIV DRAFT RECOMMENDATIONS	290
Annex 3. ANNEX XIV RECOMMENDATIONS	290
Annex 4. Authorisation List	291
Chapter 9. INFORMATION REQUIREMENTS IN THE SUPPLY CHAIN	293
A) Overview	294
B) Flow of Information Downstream	296
I. Safety Data Sheets	296
1. Obligation to draft an SDS	297
2. Content and structure of an SDS	299
II. Chemical Safety Report	321
1. Purpose	321
2. Scope	321
3. CSA	322
4. Content of CSR	323
5. CSR and SDS	323
6. FLOWCHART: CSA and CSR	324
III. Information Requirements if an SDS is not Required	324
1. Scope of information	324
2. Timing	326
3. Updates	327
IV. Information on Substances in Articles	328
1. Scope	328
2. Structure of the provision	329
3. Information obligation	329
V. Relationship with CLP	333
C) Flow of Information Upstream	334
I. Upstream Information Duties of all Actors in the Supply Chain	334
1. Scope	334
2. Additional obligations of formulators	334
3. Duties of actors in the supply chain	334
4. Duties of distributors	335
II. Upstream Information Duties of the DSU (Art. 37 REACH)	335
III. FLOWCHART: Information Flow and Obligations for Dangerous Substances	336
IV. Model Letter on Hazards not Included in the SDS	336
V. CHECKLIST: Overview on Information Rights and Obligations in the Supply Chain	337
D) Access of Employees to Information	337
I. Workers and their Representatives	338
II. Information to be Provided	338
III. Obligation of the Employer	338
IV. Granting of Access	338
V. Use and Potential Exposition	339
VI. Refusal to Grant Access	339
F) Obligation to Keep Enformation	340
I. Suppliers of a Substance or Mixture	340
II. Information	340
III. Obligations	340
IV. Cessation of Activity and Transfer of Operations	341
V. Annex	342
Annex 1. Safety Data Sheet (SDS)	342

Table of Contents

Chapter 10. DOWNSTREAM USERS	357
I. Definition of Downstream Users	357
II. Roles and Responsibilities of Downstream Users	357
1. Roles of downstream users	357
2. Responsibilities of downstream users	359
a) Registrations and downstream users – Articles 37 to 39 REACH	359
b) Authorisations and downstream users – Articles 55 to 66 of REACH	366
c) Restrictions and downstream users – Articles 67 to 73 of REACH	370
Chapter 11. CLASSIFICATION AND LABELLING INVENTORY	373
I. Overview of Regulation 1272/2008 and its Relation to REACH	373
1. The CPL implementing GHS	373
2. Definitions and classification issues	374
3. Classification and labelling inventory	376
4. National helpdesks	376
5. Advertising for substances and mixtures	377
6. Safeguard clause	377
7. Annexes to CPL	378
a) Annex I	378
b) Annex II	378
c) Annex III	378
d) Annex IV	378
e) Annex V	378
f) Annex VI	379
g) Annex VII	381
II. Hazard Classification and Labelling	381
1. Purpose	381
2. Weight of evidence	381
3. Definition and qualification of an expert	382
4. Cut-off limits	383
5. Classification of substances	383
6. Health and environmental hazards	384
7. Corrosive/irritating properties of a substance	385
8. Classification principles	386
9. Labelling of substances and mixtures	386
III. Harmonisation of EU Classifications	387
1. Harmonized classification pursuant to EU law	387
2. The classification and labelling inventory	389
3. Submission report	391
IV. Comparison Between EU CPL and GHS and the Current Classification System in the EU	392
1. New concepts underlying CPL	392
2. ■	393
3. Labelling	395
V. Conclusion	395
Chapter 12. EVALUATION	397
I. Introduction	398
II. Dossier Evaluation	401
1. Testing proposal	401
a) Scope and purpose	401
b) Procedure and time lines	402
c) Possible outcome	403
d) Evaluation criteria	404
2. Compliance check	405
a) Scope and purpose	405
b) Selection of dossiers	406

Table of Contents

c) Procedure and time lines	408
d) Possible outcome	409
e) Evaluation criteria	410
3. Current experience and outlook	412
a) Current numbers	412
b) ECHA observations and recommendations	413
c) Outlook	417
III. Substance Evaluation	418
1. Scope and purpose	418
2. Selection of substances	418
3. Procedure and time lines	420
4. Possible outcomes	421
5. Outlook	422
IV. Further Cases	422
1. Substances notified and assessed under previous legislation	422
a) Notified substances	423
b) Existing substances	424
2. Evaluation of on-site isolated intermediates	424
Chapter 13. AUTHORISATIONS AND RESTRICTIONS	425
I. Authorisations	425
1. Aims of the authorisation process	426
2. General provisions – Articles 56 to 57	426
a) Authorisation requirements in relation to SVHCs	426
b) Exemptions from authorisation requirements	428
3. Procedure(s) – Articles 58 to 64	430
a) Procedure(s) for including substances in Annex XIV of REACH	430
b) Authorisation applications	433
II. Restrictions	442
1. The aim of restrictions	442
2. General provisions	443
3. The restriction process	444
a) Introducing new and amending current restrictions – Article 68	444
b) Preparation and adoption of a restriction (proposal) – Articles 69 to 73	445
Chapter 14. Legal Actions and Appeals	451
I. The Available Procedures and Remedies	451
1. Review procedures before ECHA	451
a) Jurisdiction	451
b) Procedure before the Board of Appeal	453
c) Admissibility	454
d) Scope of review	455
2. Actions before the European Court of Justice	456
a) Jurisdiction	456
b) Procedure	457
c) Admissibility	458
d) Scope of the judicial review	461
3. The national courts	462
II. The Existing Case Law	463
1. Decisions of the Board of Appeal	463
2. Judgments of the EU courts	466
a) Case law on the Candidate List	466
b) Case law on harmonised classification and labelling of substances	468

Table of Contents

Chapter 15. ENFORCEMENT	473
A) General observations	474
I. REACH as a Regulation	474
II. Enforcement Bodies	474
III. Comprehensive Regime	476
B) Areas of Enforcement	476
I. Registration, Pre-registration	476
II. Supply Chain	477
III. Authorization, Use, Restriction	477
IV. General	477
C) REACH Enforcement Forum	477
I. Structure and Task	477
II. Duties: Development of Enforcement Strategies	478
III. Enforcement Projects	479
IV. Information Exchange	479
D) National Enforcement Authorities	480
I. Customs Authorities	480
II. Penalties	481
III. Overview by Country	482
1. Austria	482
2. Belgium	482
3. Bulgaria	483
4. Cyprus	483
5. Czech Republic	483
6. Denmark	484
7. Estonia	484
8. Finland	484
9. France	485
10. Germany	485
11. Greece	486
12. Hungary	486
13. Iceland	486
14. Ireland	487
15. Italy	487
16. Latvia	487
17. Liechtenstein	488
18. Lithuania	488
19. Luxembourg	488
20. Malta	489
21. Netherlands	489
22. Norway	490
23. Poland	490
24. Portugal	490
25. Romania	490
26. Slovakia	491
27. Slovenia	491
28. Spain	491
29. Sweden	492
30. United Kingdom	492
IV. Current State of Enforcement Activities	493

Table of Contents

Chapter 16. A BUSINESS STRATEGY FOR ENTERPRISES – A PRACTITIONER’S VIEWPOINT – 495

A) Songwon Group Case 495

 I. Introduction 495

 II. A Brief Description of Songwon Group 496

 III. Songwon Strategy Implementation 2006 498

 IV. REACH: a Cost, a Treat and an Opportunity 499

 V. Songwon and the Implementation 500

 VI. Top Management Involvement 500

 VII. REACH and Business Decisions 501

 VIII. REACH as a Source of Customer Intimacy 501

 IX. REACH (and Regulatory) as a Marketing Tool 502

 X. New Business Opportunities 504

 XI. Current Status and Conclusion 505

B) REACH @ Tenneco 506

 I. The Beginning of the Elephant Story: Studying the Menu 506

 II. Identifying Chefs, Cooks, Maître d’Hôtel and Waiters 507

 III. Sizing the Elephant 508

 IV. Defining the Ingredients and “Mise en Place” 509

 V. Grouping Ingredients for the Same Preparation 512

 VI. Assessing the Ingredients 512

 VII. Re-evaluating the Menu 513

 VIII. Going for an Appetizer Instead of a Main Course 513

 IX. Defining the Appetizer and Talking to the Supplier(s) 514

 X. Wait for the Host or Start the Dinner? 515

 XI. How to Organise the Restaurant Now? 516

 XII. The Opinion of a Culinary Critic? 516

Appendices ■

Index ■