

TABLE OF CONTENTS

	PAGE
<i>Dedication</i>	v
<i>Preface to the third edition</i>	vii
<i>Foreword to the third edition</i>	ix
<i>Foreword to the second edition</i>	xi
<i>Table of Cases</i>	xix
<i>Table of Statutes</i>	lxi
<i>Table of Statutory Instruments</i>	lxvii
	PARA
1. LEGAL PROFESSIONAL PRIVILEGE: CORE PRINCIPLES	
1. PRIVILEGE: IN SUMMARY	1-001
2. LEGAL PROFESSIONAL PRIVILEGE: ITS RATIONALE AND THE NATURE OF THE RIGHT	
Origins: a brief history	1-015
<i>Derby Magistrates</i>	1-022
The nature of privilege: the development of the substantive right	1-027
Commonwealth developments	1-034
Europe and Human rights	1-038
The development of the substantive rule in England	1-040
3. ASSERTING PRIVILEGE: THE CONSEQUENCES OF THE ABSOLUTE APPROACH	1-062
Prosecutions and the misuse of privilege	1-067
Prosecutorial pressure to waive privilege	1-077
Criticisms of the absolute rule	1-090
Abrogating privilege by statute	1-102
“Technical” abrogations of privilege and covert surveillance legislation	1-126
Abrogation of litigation privilege: Children Act 1989 proceedings	1-147
Litigation privilege: an absolute right?	1-156
Insolvency proceedings	1-173
4. THE CLIENT’S PRIVILEGE AND THE LAWYER’S DUTY	1-177

5. PRIVILEGE: JURISDICTIONAL ISSUES	1-187
6. PRIVILEGE: OTHER PROFESSIONS?	1-206
7. PRIVILEGE AND CONFIDENTIALITY	1-249
8. PRIVILEGE AND HUMAN RIGHTS.....	1-256
2. LEGAL PROFESSIONAL PRIVILEGE: ADVICE PRIVILEGE	
1. INTRODUCTION.....	2-001
2. ADVICE PRIVILEGE: THE ESSENTIAL ELEMENTS	2-002
3. ADVICE PRIVILEGE: COMMUNICATIONS BETWEEN CLIENT AND LAWYER	2-007
4. ADVICE PRIVILEGE: THE CLIENT-LAWYER RELATIONSHIP	2-019
5. ADVICE PRIVILEGE: THIRD PARTY COMMUNICATIONS AND LITIGATION PRIVILEGE CONTRASTED	2-042
6. PRIVILEGE: CONFIDENTIAL COMMUNICATIONS	2-050
7. IDENTIFYING LEGAL ADVICE AND ASSISTANCE	2-066
Dominant purpose	2-099
8. ADVICE PRIVILEGE: WHAT DOES IT COVER?	2-108
9. ADVICE PRIVILEGE: WHAT IS NOT PROTECTED.....	2-139
10. THE AVAILABILITY OF PRIVILEGE WHERE THERE IS NO COMMUNICATION	2-190
Collateral facts and facts patent to the senses.....	2-210
3. LEGAL PROFESSIONAL PRIVILEGE: LITIGATION PRIVILEGE	
1. INTRODUCTION.....	3-001
2. LITIGATION PRIVILEGE: THE ESSENTIAL ELEMENTS	3-002
3. LITIGATION PRIVILEGE: THE RATIONALE.....	3-009
Rationale for privilege: Commonwealth developments	3-031
Confidentiality and Litigation Privilege.....	3-039
4. LITIGATION PRIVILEGE: THE 'DOMINANT PURPOSE' TEST	3-041
<i>Waugh</i> : the policy aspects.....	3-047
Litigation privilege: dominant purpose post- <i>Waugh</i>	3-059

5. LITIGATION PRIVILEGE: WHAT PURPOSE?	3-081
6. LITIGATION PRIVILEGE: LEGAL PROCEEDINGS	
Identifying legal proceedings.....	3-086
Anticipating litigation	3-104
Other considerations	3-131
7. LITIGATION PRIVILEGE: WHOSE PURPOSE?	3-137
8. LITIGATION PRIVILEGE: SOME DIFFICULTIES.....	3-146
9. LITIGATION PRIVILEGE: EXPERT WITNESSES	
Introduction	3-160
The Part 35 expert and the advisory expert	3-163
Single joint expert.....	3-166
The starting point: the traditional rules	3-167
The expert's opinion	3-170
The CPR Pt 35 privilege rules.....	3-187
Expert shopping	3-218
The vires of CPR r.35.10.....	3-230
Single joint experts	3-232
Experts in children's proceedings.....	3-233
10. LITIGATION PRIVILEGE: WITNESS STATEMENTS	3-244
Compulsory statements.....	3-276
Witness statements and the CPR	3-282
11. COMMUNICATIONS BETWEEN CO-PARTIES	3-289
12. CRIMINAL PROCEEDINGS	3-305
13. LEGAL ADVICE GIVEN BY NON-LAWYERS IN RESPECT OF LITIGATION.....	3-327
4. PRIVILEGE AND THIRD PARTY COMMUNICATIONS: FURTHER CONSIDERATIONS	
1. INTRODUCTION.....	4-001
2. COMMUNICATIONS THROUGH AGENTS.....	4-002
Advice privilege and employees.....	4-008
Solicitor communications	4-019
The Commonwealth approach.....	4-026
Internal client communication of privileged materials	4-043
3. IN-HOUSE LAWYERS	4-052
European law issues.....	4-066
4. ACTING IN PERSON.....	4-091

5. PRE-EXISTING DOCUMENTS

- 1. INTRODUCTION.....5-001
- 2. PRE-EXISTING DOCUMENTS: A SUMMARY.....5-004
- 3. PRE-EXISTING DOCUMENTS: ANALYSIS5-005
 - The Australian approach.....5-023
- 4. SELECTING COPY DOCUMENTS.....5-032

6. JOINT AND COMMON INTERESTS

- 1. INTRODUCTION.....6-001
- 2. JOINT RETAINERS AND JOINT INTERESTS.....6-008
 - Termination of the joint interest—disputes between the parties to the joint interest.....6-050
- 3. COMMON INTEREST PRIVILEGE6-061
 - Common interest privilege: the principles6-066
 - Legal advice6-081
 - Common interest privilege and co-defendants6-084
 - Conflicts of interest and joint and common interests6-086
- 4. SUCCESSORS IN TITLE.....6-093
- 5. THE DURATION OF THE PRIVILEGE6-104

7. LOSING PRIVILEGE

- 1. INTRODUCTION.....7-001
 - Fairness7-005
 - Who can waive privilege?7-014
- 2. LOSS OF CONFIDENTIALITY7-030
 - Introduction.....7-030
 - Limited or partial disclosure.....7-037
 - Disclosure to the criminal justice authorities7-045
 - Confidential disclosure of privileged materials.....7-058
 - Disclosure in assessment of costs proceedings.....7-094
 - Disclosure to other (non-legal) advisers and internal dissemination.....7-103
 - Communications with auditors7-112
- 3. WAIVER IN THE COURSE OF LEGAL PROCEEDINGS7-120
 - Introduction.....7-120
 - Voluntary disclosure.....7-126
 - Inter-solicitor correspondence and press releases.....7-128
 - Statement of case/pleadings/affidavits and witness statements.....7-131
 - Expert reports.....7-175

- Service of statements of case, affidavits, witness statements and experts' reports.....7-187
- Disclosure of documents.....7-200
- Waiver, oral communications and criminal proceedings7-204
- 4. THE CONSEQUENCES OF WAIVER: THE "KNOCK ON" EFFECT AND TIMING ISSUES.....7-210
 - Timing and deployment issues7-237
 - Patent Proceedings7-247
- 5. IMPLIED WAIVER: PROCEEDINGS BY CLIENT AGAINST SOLICITOR7-257
 - Wasted costs and client fraud7-288
- 6. LIMITATION ISSUES AND SETTLEMENTS.....7-293
 - Pleading settlements.....7-298
- 7. RESTRAINING THE USE OF PRIVILEGED MATERIAL AFTER UNINTENDED DISCLOSURE7-306
 - Introduction.....7-306
 - Calcraft v Guest and Ashburton v Pape*.....7-311
 - Subsequent authorities7-318
 - Disclosure by mistake: the post-CPR position7-331
 - Litigants in person7-342
 - Deployment considerations.....7-348
 - Consequences for the recipient's legal advisers.....7-356
 - Criminal proceedings7-370
 - Miscellaneous.....7-386

8. THE CRIME-FRAUD EXCEPTION

- 1. INTRODUCTION.....8-001
- 2. BASIC PRINCIPLES8-003
 - Innocent legal adviser8-006
 - Innocent client.....8-007
 - Interlocutory applications8-017
 - The criminal purpose8-018
 - Timing8-023
 - Litigation privilege.....8-024
- 3. THE CRIME-FRAUD EXCEPTION IN CIVIL CASES8-046
- 4. INVOKING THE CRIME-FRAUD EXCEPTION: EVIDENTIAL CONSIDERATIONS.....8-066
- 5. STATUTORY POWERS OF SEARCH AND SEIZURE8-086
 - Police and Criminal Evidence Act 19848-090
 - Chesterfield Justices*8-093

9. CLAIMING PRIVILEGE

1. CLAIMING PRIVILEGE UNDER THE CIVIL PROCEDURE RULES9-002
2. CHALLENGING PRIVILEGE9-020
3. PARTLY PRIVILEGED DOCUMENTS.....9-051

10. WITHOUT PREJUDICE COMMUNICATIONS

1. INTRODUCTION.....10-001
2. WITHOUT PREJUDICE: GENERAL PRINCIPLES
- Basis of the rule10-002
- Scope of the rule.....10-032
- Human rights considerations10-056
3. WHEN ARE NEGOTIATIONS MADE 'WITHOUT PREJUDICE'?.....10-057
4. EXCEPTIONS TO THE RULE10-094
- Dispute as to settlement and estoppel, rectification and interpretation/construction10-098
- Unambiguous impropriety: threats, perjury, blackmail and lack of good faith10-111
- Prejudice.....10-128
- Rebuttal of a suggestion of delay or laches10-141
- Settlement and mitigation of loss10-154
- Costs.....10-160
- Matrimonial proceedings10-176
- Mediations and Adjudications10-178
- Admission of 'independent fact'10-196
5. WAIVING WITHOUT PREJUDICE PRIVILEGE10-204
6. THE WITHOUT PREJUDICE PRIVILEGE AND STATUTORY POWERS OF DOCUMENT PRODUCTION10-218

	PAGE
Index	763

TABLE OF CASES

A Chief Constable v A County Council. <i>See</i> AB (A Child) (Care Proceedings: Disclosure of Medical Evidence to Police), Re	
A Local Authority v B [2008] EWHC 1017 (Fam); [2009] 1 F.L.R. 289; [2008] Fam. Law 1193	1-178
A Solicitor v The Law Society of Hong Kong [2006] HKCFA 42; [2006] 2 HKLRD 116; (2006) 9 HKCFAR 175; [2006] 2 HKC 429	1-066
AAG Investments Ltd v BAA Airports Ltd [2010] EWHC 2844 (Comm); [2011] 2 All E.R. (Comm) 1171	10-100, 10-127
AB (A Child) (Care Proceedings: Disclosure of Medical Evidence to Police), Re; sub nom. A Chief Constable v A County Council [2002] EWHC 2198 (Fam); [2003] 1 F.L.R. 579; [2003] 2 F.C.R. 385; [2003] Fam. Law 152	1-147, 1-154, 3-240
AB v CD [2011] EWHC 602 (Ch)	10-168, 10-170
ABB Power Transmission Pty Ltd v Australian Competition and Consumer Commission [2003] FCAFC 261	10-062, 10-094
Abbey National Plc v Clive Travers & Co [1999] Lloyd's Rep. P.N. 753; [1999] P.N.L.R. 819 CA (Civ Div)	1-178, 8-022
Ablitt v Mills and Reeve, <i>Times</i> , October 26, 1995 Ch D	7-357, 7-363, 7-365
ACD (Landscape Architects) Ltd v Overall [2011] EWHC 3362 (TCC); [2012] B.L.R. 144; [2012] C.I.L.L. 3145	7-129
Adamas v (Honourable Brendan O'Connor [2011] F.C.A. 948	3-318
Adams Steamship Co Ltd v London Assurance Corp [1914] 3 K.B. 1256 CA	3-030
Adams v Anthony Bryant & Co Pty Ltd [1986] 67 A.L.R. 616	3-317
Adams v Norcen Energy Resources Ltd [1999] A.J. No. 1306	2-214
Admiral Management Services Ltd v Para Protect Europe Ltd [2002] EWHC 233 (Ch); [2002] 1 W.L.R. 2722; [2003] 2 All E.R. 1017; [2002] C.P. Rep. 37; [2003] 1 Costs L.R. 1; [2002] F.S.R. 59; (2002) 99(16) L.S.G. 37; (2002) 152 N.L.J. 518; (2002) 146 S.J.L.B. 93	10-094, 10-110
Aegis Blaze, The; Aegis Blaze, The [1986] 1 Lloyd's Rep. 203; (1986) 130 S.J. 1 CA (Civ Div)	6-106, 7-329
Aherne v Judge Mahon [2008] 4 I.R. 704; [2008] IEHC 119; [2009] 1 ILRM 458	3-102, 3-160
Ainsworth v Wilding (No.2) [1900] 2 Ch. 315 Ch D	2-121, 2-132, 2-198, 2-200, 2-203, 2-208, 2-219, 7-031, 9-034, 9-052, 9-062
Air Force v Vance [2005] ACTCA 35	1-222
Aird v Prime Meridian Ltd [2006] EWCA Civ 1866; [2007] C.P. Rep. 18; [2007] B.L.R. 105; 111 Con. L.R. 209; (2007) 104(2) L.S.G. 31; (2007) 151 S.J.L.B. 60	10-178, 10-184, 10-194, 10-195
Akai Holdings Ltd (in compulsory liquidation) v Ernst & Young (a Hong Kong firm) [2009] HKCFA 14	1-176, 2-039, 3-103, 3-145, 3-277, 3-278, 3-279, 4-033
Akzo Nobel Chemicals Ltd and Akros Chemicals Ltd v Commission of the European Communities (T-125/03 and T-253/03) [2008] All E.R. (EC) 1	4-080, 4-082, 4-083, 4-085
Akzo Nobel Chemicals Ltd and Akros Chemicals Ltd v Commission of the European Communities (C-550/07 P) [2011] 2 A.C. 338; [2011] 3 W.L.R. 755; [2011] All E.R. (EC) 1107; [2011] Bus. L.R. 1458; [2010] 5 C.M.L.R. 19; [2011] C.E.C. 253; (2010) 160 N.L.J. 1300	1-263, 2-037, 4-066, 4-068, 4-070, 4-071, 4-075, 4-078, 4-081, 4-086, 4-090
Al-Fayed v Commissioner of Police of the Metropolis (No.1) [2002] EWCA Civ 780; (2002) 99(30) L.S.G. 39; (2002) 146 S.J.L.B. 153	3-307, 7-203, 7-325, 7-337, 7-338, 7-339, 7-340, 7-341, 7-388, 10-052
Al-Misnad v Azzaman Ltd [2003] EWHC 1783 (QB)	4-048
Alexander Windsor, Avtar Singh Hare (CO/12833/2010)	8-108
Alexander Windsor, Superbrew (Europe) Ltd, Kulwant Singh Hare (CO/3412/2011)	8-108