Forewor	d by D	avid Molnia	XV		
Preface			xvii		
Acknow	ledgme	ents	xxi		
List of T	ables		xxiii		
List of F	igures		XXV		
List of Figures List of Abbreviations					
LIST OF A	.bbrevi	ations	xxvii		
PART 1					
The Basi	ics of L	JS Patent Law	1		
Ov	. 1				
CHAPTER		adarlying the US Datent System	3		
\$1.01	Legal Codes Underlying the US Patent System §1.01 Comparison of EP and US Legal Codes				
§1.01		Articles: 35 USC	3 4		
§1.02		Rules: 37 CFR	4		
§1.03		he Guidelines for Examination: MPEP			
§1.04 §1.05					
81.03	THE	Importance of Judicial Precedent	5		
Снартеб	2				
Types of	Paten	ts and Corresponding Types of Applications	7		
§2.01	Utilit	7			
	[A]	Provisional Applications	8		
		[1] Time Limits	8		
		[2] Priority	9		
		[3] Patent Term	9		
		[4] US Provisionals as "Pop-Up" (Intervening) Prior Art	9		
	[B]	Continuation Applications	10		
	[C]	Divisional Applications			
	[D]	D] Continuation-In-Part Applications			

Table	or Con	tents		
§2.02	Desig	gn Patents	11	
§2.03	,	Patents	12	
_				
СНАРТЕ			13	
	tability: Requirements and Exceptions			
§3.01	0	l Basis	13	
§3.02		ntable Subject-Matter in the US	14	
§3.03		ptions to Patentability in the US	15	
§3.04		puter-Related Inventions in the US	15	
§3.05	Dout	ole Patenting Exclusion	16	
PART II				
A Prej	paring a	and Filing a US Patent Application	17	
Снарте	R 4			
Drafting	g a Pate	nt Application and Preparing to File	19	
§4.01	Draft	ing a US Patent Application	19	
	[A]	US Claims	19	
		[1] Independent Claims	20	
		[2] Dependent Claims	21	
		[3] Clarity	22	
		[4] Claims Fees	23	
	[B]	US Description	24	
		[1] Requirements	24	
		[2] Strategic Considerations	25	
	[C]	US Drawings	25	
§4.02	Prepa	Preparing to File		
	[A] Foreign Filing Licenses			
Снарте	R 5			
Filing tl	ne Appl	ication and the Start of Prosecution	27	
§5.01	Filing	g an Application at the USPTO	27	
	[A]	US Requirements to Accord a DoF	27	
	[B]	Other Application Requirements (Not Required for Filing Date)	28	
	[C]	Application Data Sheet (ADS)	29	
§5.02	The Start of Prosecution			
	[A]	Information Disclosure Statements (IDSs)	30	
	[B]	Representation before the USPTO: Power of Attorney	31	
	[C]	Formalities Examination	32	
	[D]	Preliminary Amendment	32	
	[E]	Classification and Search	32	
	[F]	Publication and Provisional Rights	32	

B Pros	ecution: Proceedings Up to Grant	35
СНАРТЕ	R 6	
Examin	ation: Responding to Office Actions	37
§6.01	Types of Office Action	37
§6.02	Rejection versus Objection	39
§6.03	Interviews with the Examiner	39
§6.04	Dealing with Substantive Rejections in the US	40
§6.05	Amending Claims	4
	[A] New Matter	4
	[B] Formal Considerations	42
§6.06	Supplemental Reply	42
Снарте	R 7	
Novelty	as Defined in the US	43
§7.01	Applicable Law: Pre-AIA or AIA	44
§7.02	Prior Art under the AIA	44
	[A] Definition of "Effective Filing Date"	44
	[B] Inclusions and Exceptions	45
	[1] Pop-Up (Intervening) Prior Art	45
	[2] One-Year Grace Period	40
	[3] Applications and Patents with Common Inventorship	
	or Common Ownership	40
	[a] Joint Research Agreements	47
§7.03	Pre-AIA Definition of Prior Art	48
§7.04	Evaluating Prior Art, Pre-AIA and AIA	49
Снарте		
Inventiv	reness as Defined in the US	51
§8.01	The Graham Factual Inquiries	51
§8.02	The "Teaching, Suggestion or Motivation"-Test	52
§8.03	Non-obviousness under KSR	53
Снарте		
	a Final Rejection and How to Respond	55
§9.01	Applicant's Rights after a Final Rejection	55
§9.02	Possible Responses to a Final Office Action	50
§9.03	The Six-Month Time Limit Is Not Extended by Filing a Response	58
§9.04	Continuation Application versus RCE	58
§9.05	Pursuing Several Courses of Action in Parallel	59
Снарте		
	ace and Issue	6.
§10.01	Allowance	61
810.02	Icciio	67

Table C	of Contents	
C App	eal	63
Снарте	R 11	
Appeal 1	Proceedings at the USPTO	65
Part III		
The US	Patent and Post-grant Proceedings	67
Снарте	₹ 12	
The US	Patent and Protection Conferred	69
Снарте	₹ 13	
USPTO	Proceedings Corresponding to Opposition at the EPO	71
§13.01	Post-grant Review	72
§13.02	Transitional Program for CBMs	74
§13.03	Inter Partes Review	74
§13.04	Appeal of USPTO Post-grant Proceedings	75
СНАРТЕ		
	Proceedings Corresponding to Article 105a EPC (Requests for Limitation	77
or Revo		77
§14.01		78 70
§14.02	Supplemental Examination	79 70
§14.03	Ex Parte Reexamination	79
PART IV		0.1
index of	Procedural Elements	81
Снарты		0.2
-	etic Index of Procedural Elements	83
§15.01	Accelerated Proceedings	84
	[A] USPTO Equivalents to the EPO's PACE Program	84
	[1] Special Status	84
	[2] Accelerated Examination	85
	[3] Prioritized Examination	86
	[B] The USPTO's PPH	86
615.02	[C] Expedited Examination for Design Applications	86
§15.02	Calculation of Time Limits	87
	[A] Basic Calculation of Time Limits	87
	[B] Special Cases	88 88
	[1] No Automatic Extensions Available [2] Multiple Perpanses to a Final Office Action	88 88
	[2] Multiple Responses to a Final Office Action[3] The "Two-Month Rule"	89
815.02	Declarations, Oaths and Affidavits	90
§15.03 §15.04	Extensions of Time Limits and Suspension of Proceedings	90
813.04	[A] "For Cause" Extensions	91
	[11] FOI Cause Extensions	71

	[B]	Time Limits for Which No "For Cause" Extensions Available	91
\$15 OF	[C]	Suspension of Action	91 92
§15.05	Fees [A]	Reduction of Fees for Small- and Micro-Entities	92
	ĮΛJ	[1] Definition of Small Entity and Micro-Entity	92
		[2] Claiming Small- or Micro-Entity Status	93
		[3] Loss of Small- or Micro-Entity Status	93
		[4] Fees Which Are Not Reduced for Small-/Micro-Entities	93
	[B]	Calculation of Certain Fees at the USPTO	93
	[2]	[1] Fees for Filing an Application	93
		[2] Maintenance Fees	94
	[C]	Payment Means Accepted by the USPTO	94
	į - j	[1] Credit Card	94
		[2] Deposit Account	95
§15.06	Filing	g Papers at the USPTO	96
	[A]	Filing in Person	96
	[B]	Filing by Mail	96
	[C]	Filing by Fax	97
	[D]	Filing by Email	97
	[E]	Filing Using the EFS	97
§15.07	Non-	entitled Entities	99
	[A]	Interference	99
	[B]	Derivation	100
§15.08	Patent Term		
	[A]	Patent Term Extension	102
	[B]	Patent Term Adjustment	102
§15.09	PCT	Applications in the US	103
	[A]	Entering the National Stage in the US	103
		[1] Normal Route	103
		[2] "Bypass" Route	103
	[B]	PCT Applications as "Pop-Up" Prior Art	103
§15.10	Prior	,	105
	[A]	Claiming Priority	105
	[B]	Differences between Foreign and Domestic Priority	106
	[C]	Domestic Priority: Provisional versus Non-provisional	106
§15.11		rding Assignments	107
	[A]	Patents and Patent Applications	107
	[B]	Joint Research Agreements	107
§15.12		riction (Requirement of Unity in the US)	108
	[A]	Traversing a Restriction Requirement	109
	[B]	Restriction Requirements: Special Cases	109
		[1] Linking Claims	109
		[2] Genus/Species Restriction	109
015	ъ.	[3] Restrictions Involving Combinations and Sub-combinations	110
§15.13	Revi	val of Patent Applications	111

§15.14	Submissions on Patentability by Third Parties in the US	112		
313.11	[A] Third-Party Preissuance Submission	112		
	[B] Protest	113		
	[C] Citation of Prior Art	113		
	[e] Station of Files Inc	110		
Part V				
Advance	ed Topics in US Patent Law	115		
CHAPTER	R 16			
Structure	re of the USPTO and the US Court System	117		
§16.01	Structure of the USPTO	117		
§16.02	The US Federal Court System	118		
CHAPTER	R 17			
Careers i	in IP: USPTO Examiners and Types of US Legal Representative	121		
§17.01	Types of Representative	121		
	[A] Representatives under the EPC	121		
	[B] Types of US Representative	121		
	[1] Qualifying as a US Patent Agent	122		
	[2] Qualifying as a US Legal Attorney	123		
	[3] Qualifying as a US Patent Attorney	123		
	[4] Capacity to Represent	124		
	[i] Capacity to Represent before the USPTO	124		
	[ii] Capacity to Represent before US Federal Co	ourts 124		
	[C] Comparison of US and EP Representatives	124		
§17.02	Patent Examiners			
	[A] USPTO Examiners	125		
	[B] Comparison of US and EP Examiners			
CHAPTER	R 18			
History o	of IP in the US Up to the AIA	127		
CHAPTER	R 19			
The Ame	erica Invents Act	129		
§19.01	History of the AIA	129		
§19.02	Significant Changes to US Patent Law	130		
	[A] First-Inventor-to-File	130		
	[B] Changes to Post-grant Proceedings	131		
	[1] Interference Proceedings Obsolete	131		
	[2] Reforms Affecting Other Two-Party Proceedings	132		
	[C] Change in Definition of State of the Art	133		
	[D] Creation of Applicant Separate from Inventor	133		
§19.03	Applicable Law: AIA Transitional Provisions	133		
§19.04	Less Significant Changes to US Patent Law			
	[A] Exception to Patentability for Tax Strategy Inventions			

			Γable of Contents
	[B] [C]	Amendments to Prevent False Patent Marking Lawsuits SIR Discontinued	134 135
Снартег	R 20		
Doctrine	es and l	Principles of US Patent Law	137
§20.01	Duty	of Candor and Good Faith	137
§20.02	Estop	ppel	138
§20.03	Fees	Are Determined by the Cost of Associated Services	141
Appendi	ICES		141
Appendi	X A.1		
35 USC			141
Appendi	x A.2		
37 CFR,	Part 1	(Rules of Practice in Patent Cases)	148
Appendi	X B.1		
USPTO 1	Patent	Process Flowchart	163
Appendi	x B.2		
Applicat	ion Da	ta Sheet	164
Appendi	X B.3		
Informa	tion Di	sclosure Statement	170
Appendi	X B.4		

172

173

211

Inventor's Oath or Declaration

Relevant Portions of the America Invents Act

APPENDIX C

Index