

Table of Contents

CHAPTER 1. CONSTITUTIONAL BASIS OF BUSINESS LAWS

- § 1:1 Introduction to Canada
- § 1:2 Levels of government
- § 1:3 Canadian judiciary
- § 1:4 —Provincial courts
- § 1:5 —Federal courts
- § 1:6 —Supreme Court
- § 1:7 Bilingualism
- § 1:8 Division of powers
- § 1:9 —Provincial powers
- § 1:10 —Federal powers
- § 1:11 —Economic regulation
- § 1:12 — —Federal powers
- § 1:13 — —Provincial powers
- § 1:14 Peace, order, and good government
- § 1:15 Characterization of laws—Pith and substance
- § 1:16 —Paramountcy
- § 1:17 Charter of Rights and Freedoms
- § 1:18 —Limitation of Charter rights
- § 1:19 —Application of the Charter
- § 1:20 —Remedies

CHAPTER 2. CORPORATIONS, PARTNERSHIPS, AND OTHER BUSINESS ORGANIZATIONS

I. INTRODUCTION AND CONSTITUTIONAL JURISDICTION

- § 2:1 Introduction
- § 2:2 Constitutional jurisdiction of corporations
- § 2:3 Constitutional jurisdiction of partnerships and limited partnerships

II. BUSINESS CORPORATIONS

- § 2:4 Introduction
- § 2:5 Application of business corporations statutes
- § 2:6 Limited liability
- § 2:7 Incorporation

- § 2:8 Capacity and powers
- § 2:9 Registered office and records
- § 2:10 Corporate finance
- § 2:11 Constrained shares
- § 2:12 Security certificates, registers, and transfers
- § 2:13 Directors and officers
- § 2:14 Shareholders
- § 2:15 Proxies
- § 2:16 Financial disclosure
- § 2:17 Fundamental changes
- § 2:18 Going-private and squeeze-out transactions
- § 2:19 Compulsory and compelled acquisitions
- § 2:20 Liquidation and dissolution
- § 2:21 Remedies, offences, and punishment

III. UNLIMITED LIABILITY CORPORATIONS

- § 2:22 Introduction
- § 2:23 Nova Scotia unlimited liability companies
- § 2:24 Alberta unlimited liability corporations
- § 2:25 British Columbia unlimited liability corporations

IV. COMMUNITY CONTRIBUTION COMPANIES

- § 2:26 British Columbia community contribution companies

V. PARTNERSHIPS

- § 2:27 Introduction
- § 2:28 Nature of partnership
- § 2:29 Types of partnerships
- § 2:30 Limited partnerships
- § 2:31 Limited liability partnerships
- § 2:32 Partnerships in Quebec

VI. EXTRA-PROVINCIAL CORPORATIONS LICENSING

- § 2:33 Introduction
- § 2:34 Corporations incorporated in Canada
- § 2:35 Corporations incorporated outside of Canada

VII. PUBLIC INFORMATION FILINGS

- § 2:36 Introduction
- § 2:37 Business names registration
- § 2:38 Corporate information and annual returns

CHAPTER 3. SECURITIES AND CAPITAL MARKETS

I. OVERVIEW OF THE CANADIAN SECURITIES REGULATORY REGIME

- § 3:1 In general
- § 3:2 Administration
- § 3:3 CSA
- § 3:4 Rules regulating the sale and distribution of securities
- § 3:5 Self-regulatory organizations
- § 3:6 Exchanges
- § 3:7 TMX Group initiatives

II. REGISTRATION OF MARKET PARTICIPANTS

- § 3:8 In general
- § 3:9 The requirement to register
- § 3:10 Dealer registration
- § 3:11 Adviser registration
- § 3:12 Advisers and investment funds
- § 3:13 Investment fund manager
- § 3:14 Non-resident dealers
- § 3:15 Exempt Market Dealer Registration
- § 3:16 International Dealer Exemption
- § 3:17 International adviser exemption

III. PROSPECTUS OFFERINGS

- § 3:18 In general
- § 3:19 What is a security?
- § 3:20 What constitutes a trade?
- § 3:21 What constitutes a distribution?
- § 3:22 The prospectus requirement
- § 3:23 The marketing regime
- § 3:24 Types of prospectuses
- § 3:25 Regulatory review process

IV. EXEMPT DISTRIBUTIONS

- § 3:26 In general
- § 3:27 Capital raising exemptions
- § 3:28 Exemption for distributions to existing security holders—Multilateral CSA Notice 45-313
- § 3:29 Crowdfunding prospectus exemption and crowdfunding portal requirements
- § 3:30 Ongoing disclosure requirements for non-reporting issuers

- § 3:31 Requirements for funding portals
- § 3:32 Transaction exemptions
- § 3:33 Investment fund exemptions
- § 3:34 Employee, executive officer, director and consultant exemption
- § 3:35 Miscellaneous exemptions
- § 3:36 Control block distributions
- § 3:37 Distributions by a control person after a take-over bid
- § 3:38 Offerings by TSXV offering document exemption
- § 3:39 Reporting requirements
- § 3:40 OSC Rule 45-501: Ontario prospectus and registration exemptions
- § 3:41 Cryptocurrency Offerings
- § 3:42 Proposed OSC Rule 72-503 Distributions outside of Canada and (the Proposed Rule)
- § 3:43 Ontario amends OSC Rule 45-501 to provide for Ontario-specific requirements for NI 45-106

V. RESALE RESTRICTIONS

- § 3:44 In general
- § 3:45 Restricted period
- § 3:46 Seasoning period
- § 3:47 Exemption for a trade by a control person
- § 3:48 Determining time periods
- § 3:49 Exemption for a trade in an underlying security if the convertible security, exchangeable security or multiple convertible security is qualified by a prospectus
- § 3:50 Exemption for a trade in a security acquired in a take-over bid or issuer bid
- § 3:51 Exemption for a trade in an underlying security if the convertible security, exchangeable security or multiple convertible security is qualified by a securities exchange take-over bid circular or an issuer bid circular
- § 3:52 First trades in securities of a non-reporting issuer distributed under a prospectus exemption

VI. DISCLOSURE

- § 3:53 Continuous disclosure
- § 3:54 Timely disclosure

VII. INVESTOR CONFIDENCE

- § 3:55 In general
- § 3:56 Auditor oversight

TABLE OF CONTENTS

- § 3:57 Certification of disclosure in issuers annual and interim filings
- § 3:58 Audit committee
- § 3:59 Disclosure of corporate governance practices
- § 3:60 Corporate governance guidelines

VIII. TAKEOVER BIDS AND ISSUER BIDS

- § 3:61 Bid regime
- § 3:62 Take-over bid defined
- § 3:63 Issuer bid defined
- § 3:64 Acting jointly or in concert
- § 3:65 Bids
- § 3:66 Restrictions on acquisitions during take-over bid
- § 3:67 Restrictions on acquisitions during issuer bid
- § 3:68 Restrictions on acquisitions before take-over bid
- § 3:69 Restrictions on acquisitions after bid
- § 3:70 Restrictions on sales during bid
- § 3:71 Change in information
- § 3:72 Variation of terms
- § 3:73 Filing and sending notice of change or notice of variation
- § 3:74 Change or variation in advertised take-over bid
- § 3:75 Consent of expert—Bid circular
- § 3:76 Delivery and date of bid documents
- § 3:77 Offeree issuer's obligations
- § 3:78 Offeror's obligations
- § 3:79 Bid mechanics
- § 3:80 MI 61-101
- § 3:81 Exempt take-over bids
- § 3:82 Exempt issuer bids
- § 3:83 Early warning

IX. INSIDER TRADING

- § 3:84 In general
- § 3:85 Reporting requirement
- § 3:86 Other agreements, arrangements or understandings
- § 3:87 Report of prior agreements, arrangements or understandings
- § 3:88 Exemptions
- § 3:89 Electronic filing of insider reports

CHAPTER 4. TAXATION

I. INCOME TAX: BASIS OF TAXATION

§ 4:1 In general

A. INDIVIDUALS

§ 4:2 Residence

§ 4:3 —Factual residence, “ordinarily resident” and the common law

§ 4:4 —Deemed residence

§ 4:5 —Deemed nonresidence

§ 4:6 Tax rates applying to individuals

B. CORPORATIONS

§ 4:7 Residence

§ 4:8 —Deemed residence

§ 4:9 —Deemed non-residence

§ 4:10 —Residence of certain flow-through and fiscally transparent entities under paragraphs 6 and 7 of Article IV of the Canada-U.S. Tax Treaty

§ 4:11 Tax rates applying to corporations

C. OTHER CORPORATE TAXES

§ 4:12 In general

§ 4:13 Federal capital tax

§ 4:14 Provincial capital tax

D. PARTNERSHIPS

§ 4:15 In general

E. TRUSTS

§ 4:16 In general

II. CARRYING ON BUSINESS IN CANADA

§ 4:17 In general

A. PERMANENT ESTABLISHMENT

§ 4:18 In general

§ 4:19 Expanded definition of “permanent establishment”

§ 4:20 —The “Single Individual Test”

§ 4:21 —The “Enterprise Test”

TABLE OF CONTENTS

§ 4:22 —Physical presence and daycounting

III. CHOICE OF BUSINESS ENTITY

§ 4:23 In general

A. A REPRESENTATIVE IN CANADA

§ 4:24 In general

B. A CANADIAN BRANCH

§ 4:25 In general

§ 4:26 Foreign tax credit

§ 4:27 Branch tax vs. withholding tax on dividends

§ 4:28 Administrative factors

§ 4:29 Regulation 105

§ 4:30 Passive income

C. A CANADIAN SUBSIDIARY

§ 4:31 In general

§ 4:32 Unlimited liability companies

§ 4:33 Ceasing to carry on business

§ 4:34 Tax deferral on sale of assets

§ 4:35 Administration factors

§ 4:36 Capitalizing the Canadian subsidiary

§ 4:37 Thin capitalization rules

§ 4:38 Cross-border inter-company management and administration charges

IV. WITHHOLDING ON CANADIAN SOURCE INCOME

§ 4:39 In general

§ 4:40 Dividends

§ 4:41 Interest

§ 4:42 —Implications for non-resident lenders

§ 4:43 Royalties

V. DISPOSITION OF TAXABLE CANADIAN PROPERTY BY A NON-RESIDENT—SECTION 116 CERTIFICATE

§ 4:44 In general

§ 4:45 Section 116 Certificate

§ 4:46 —Exemption of treaty-protected property

§ 4:47 —Relief of purchaser's withholding obligations

§ 4:48 —Elimination of tax return requirement

VI. BEING EMPLOYED IN CANADA

- § 4:49 In general
- § 4:50 Regulation 102
- § 4:51 Exemption from withholding
- § 4:52 Regulation 105

VII. OTHER TAX CONSIDERATIONS

A. LIMITATION ON BENEFITS

- § 4:53 In general
- § 4:54 “Qualifying person”
- § 4:55 Active trade or business test
- § 4:56 Derivative benefits test
- § 4:57 Competent authority

B. TRANSFER PRICING

- § 4:58 In general

C. GOODS AND SERVICES TAX (GST)/ HARMONIZED SALES TAX (HST)

- § 4:59 In general
- § 4:60 Taxable supplies and input tax credits
- § 4:61 Zero-rated and exempt supplies
- § 4:62 Residency status in Canada
- § 4:63 Resident of Canada
- § 4:64 Permanent establishment in Canada
- § 4:65 Carrying on business in Canada
- § 4:66 Registering for GST/HST
- § 4:67 Goods and services imported into Canada

D. PROVINCIAL RETAIL SALES TAX

- § 4:68 In general

E. PAYROLL TAX

- § 4:69 In general

CHAPTER 5. CUSTOMS AND INTERNATIONAL TRADE

- § 5:1 Overview
- § 5:2 Reporting, accounting, payment, release, and corrections

TABLE OF CONTENTS

§ 5:3 —Duties
§ 5:4 —Accounting
§ 5:5 —Payment
§ 5:6 —Release
§ 5:7 —Corrections
§ 5:8 —Voluntary disclosure
§ 5:9 Penalties
§ 5:10 —Administration Monetary Penalty System (AMPS)
§ 5:11 —Seizure and forfeiture
§ 5:12 —Offenses
§ 5:13 Valuation
§ 5:14 —Primary method
§ 5:15 —Purchaser in Canada
§ 5:16 Classification
§ 5:17 —General and Canadian rules
§ 5:18 Origin
§ 5:19 —Tariff rates
§ 5:20 —Free trade requirements
§ 5:21 NAFTA
§ 5:22 —Preference criteria
§ 5:23 —Certificate of Origin
§ 5:24 —Verification
§ 5:25 Temporary importations
§ 5:26 —Compliance
§ 5:27 —Duties Relief Program
§ 5:28 —Drawback
§ 5:29 —NAFTA requirement
§ 5:30 —End use
§ 5:31 —Diversion
§ 5:32 Importing by post and courier
§ 5:33 —Compliance
§ 5:34 —Commercial shipments
§ 5:35 Goods and services tax (GST)
§ 5:36 —Input tax credits
§ 5:37 —Refunds, collection, and remittance
§ 5:38 Provincial sales tax (PST)
§ 5:39 Marking and labeling of imported goods
§ 5:40 —Packaging and labeling
§ 5:41 —Food and drugs
§ 5:42 —Textiles
§ 5:43 —Natural health products
§ 5:44 —Agricultural products
§ 5:45 —Precious metals
§ 5:46 —Consumer products
§ 5:47 —Special regulations

- § 5:48 Toxic or dangerous goods
- § 5:49 —Dangerous goods
- § 5:50 —Explosives
- § 5:51 Competition and trade practices
- § 5:52 —Trade offences
- § 5:53 Intellectual property
- § 5:54 —Patents
- § 5:55 —Copyright and trademarks
- § 5:56 —Parallel imports
- § 5:57 —Levy on blank recordable media
- § 5:58 Language requirements—Consumer packaging
- § 5:59 —French labels
- § 5:60 —French information; warranties
- § 5:61 Export controls
- § 5:62 —Export reports
- § 5:63 —Containers
- § 5:64 —Restricted goods
- § 5:65 —Export permits
- § 5:66 —Controlled goods
- § 5:67 Customs appeals
- § 5:68 —Ministerial requests
- § 5:69 —Appeal process
- § 5:70 —Mitigating and exculpatory circumstances

Appendix 5A. Minimizing Product Liability Risks on
Imported Goods Checklist of Considerations

CHAPTER 6. NATURAL RESOURCES AND RENEWABLE ENERGY

I. INTRODUCTION

§ 6:1 In general

II. OIL AND NATURAL GAS

§ 6:2 Introduction

A. FORMS OF OWNERSHIP

- § 6:3 Ownership in general
- § 6:4 Fee simple ownership: corporate
- § 6:5 Fee simple ownership: individual
- § 6:6 Aboriginal
- § 6:7 Government

B. REGIONAL VARIANCES

§ 6:8 Western Canadian Sedimentary Basin

TABLE OF CONTENTS

- § 6:9 —Alberta
- § 6:10 —British Columbia
- § 6:11 —Saskatchewan
- § 6:12 —Manitoba
- § 6:13 —Freehold
- § 6:14 Atlantic Canada
- § 6:15 —Newfoundland and Labrador
- § 6:16 —Nova Scotia
- § 6:17 Northern Territories
- § 6:18 Development of oil and gas resources on Indian Lands

**C. TYPICAL AGREEMENTS USED IN THE
CANADIAN OIL AND GAS INDUSTRY**

- § 6:19 Development of lands: joint operating and farmout agreements
- § 6:20 Economic efficiency and conservation: pooling and unitization agreements
- § 6:21 Compensation: overriding royalty agreements

**D. RECENT CHANGES IN LEGISLATION
AFFECTING THE CANADIAN OIL AND GAS
INDUSTRY**

- § 6:22 Ownership of Pore Spaces
- § 6:23 Coalbed Methane (CBM)
- § 6:24 Publish what you pay
- § 6:25 LNG

III. MINERALS

A. LEGISLATIVE FRAMEWORK

- § 6:26 Legislative framework in general
- § 6:27 Constitutional jurisdiction over minerals
- § 6:28 —Provincial jurisdiction
- § 6:29 —Federal jurisdiction
- § 6:30 —Taxation powers
- § 6:31 —Uranium
- § 6:32 Acquisition of mining rights on public lands under current mining legislation
- § 6:33 —Prospecting
- § 6:34 —Acquisition of a claim
- § 6:35 —Exploration
- § 6:36 —Mining
- § 6:37 —Governmental oversight

B. FORMS OF OWNERSHIP

- § 6:38 Ownership of minerals in general

- § 6:39 Crown ownership
- § 6:40 Private ownership
- § 6:41 First Nation ownership
- § 6:42 Surface rights

C. TYPICAL AGREEMENTS USED IN THE MINING INDUSTRY

- § 6:43 Option agreements
- § 6:44 Joint venture agreements
- § 6:45 Royalty agreements

D. ENVIRONMENTAL ISSUES

- § 6:46 Environmental legislation

IV. FORESTRY

A. LEGISLATIVE FRAMEWORK

- § 6:47 Constitutional authority to regulate forest industry
- § 6:48 Provincial forestry legislation

B. FORMS OF OWNERSHIP

- § 6:49 Private lands
- § 6:50 Tenure grants
- § 6:51 —Area-based tenure
- § 6:52 —Volume-based tenure

C. ACQUISITION AND TRANSFER OF TENURE

- § 6:53 New tenure
- § 6:54 Transfer of tenure
- § 6:55 Loss of tenure
- § 6:56 Duty to consult and accommodate First Nations
- § 6:57 Community interests
- § 6:58 Investment Canada Act and Competition Act issues

D. FORESTRY OPERATIONS

- § 6:59 Timber harvesting operations
- § 6:60 Timber harvesting methods
- § 6:61 Forest roads
- § 6:62 Lien rights
- § 6:63 Forest practices regulations
- § 6:64 Reforestation and silviculture obligations

E. FEES AND CHARGES

- § 6:65 Fees and charges in general

TABLE OF CONTENTS

- § 6:66 Rents
- § 6:67 Stumpage fees and waste assessments
- § 6:68 Taxes

**F. OTHER OBLIGATIONS ASSOCIATED WITH
TENURE**

- § 6:69 Fire fighting
- § 6:70 Environmental
- § 6:71 Fisheries
- § 6:72 Safety

**G. MARKETING OF LOGS AND TIMBER
PRODUCTS**

- § 6:73 Export restrictions on logs
- § 6:74 Softwood lumber dispute

V. RENEWABLE ENERGY

- § 6:75 In general
- § 6:76 Capital Cost Allowance and Canadian Renewable & Conservation Expense programs
- § 6:77 Federal Energy Production Incentives
- § 6:78 Green Municipal Fund
- § 6:79 Expedited patent process
- § 6:80 Feed-in tariffs and standard offer programs
- § 6:81 —Ontario
- § 6:82 —British Columbia: Clean Energy Act
- § 6:83 —Nova Scotia
- § 6:84 —New Brunswick
- § 6:85 —Prince Edward Island
- § 6:86 —Alberta
- § 6:87 Requests for Proposals (RFPs)
- § 6:88 —Alberta
- § 6:89 —Quebec
- § 6:90 —Nova Scotia
- § 6:91 —Saskatchewan
- § 6:92 Alberta's Climate Leadership Plan
- § 6:93 Project approval streamlining
- § 6:94 Provincial tax incentives
- § 6:95 —Manitoba green energy equipment tax credit
- § 6:96 —Prince Edward Island sales tax exemption

**CHAPTER 7. INTELLECTUAL PROPERTY
AND BUSINESS IN CANADA**

- § 7:1 Introduction

I. COPYRIGHT

A. WHAT IS COPYRIGHT?

- § 7:2 What does copyright protect?
- § 7:3 Registration
- § 7:4 Moral rights
- § 7:5 Term of copyright

B. LEGISLATION

- § 7:6 Copyright Act

C. INTERNATIONAL TREATIES

- § 7:7 In general

D. WHAT IS NECESSARY TO HAVE COPYRIGHT PROTECTION?

- § 7:8 Originality
- § 7:9 Citizenship and country related to the work
- § 7:10 Ownership of a work
- § 7:11 —Single author
- § 7:12 —Work for hire
- § 7:13 —Joint authorship
- § 7:14 —Compilations and collective works

E. THE WORKS IN WHICH COPYRIGHT SUBSISTS

- § 7:15 Literary works
- § 7:16 Computer programs
- § 7:17 Dramatic works
- § 7:18 Musical works
- § 7:19 Artistic works
- § 7:20 Cinematographic works
- § 7:21 Performer's rights
- § 7:22 Sound recordings
- § 7:23 Broadcasters' rights

F. INFRINGEMENT

- § 7:24 In general
- § 7:25 What must be proven?
- § 7:26 Exceptions and defences
- § 7:27 —Fair dealing exceptions
- § 7:28 —Other exceptions to copyright infringement
- § 7:29 —Defences to infringement

TABLE OF CONTENTS

- § 7:30 Technological protection measures
- § 7:31 Internet service providers and search engines
- § 7:32 Remedies
- § 7:33 —Injunction
- § 7:34 —Damages and lost profits
- § 7:35 —Statutory damages
- § 7:36 —Criminal
- § 7:37 Jurisdiction

G. COMMERCIALIZATION

- § 7:38 Assignments and licenses
- § 7:39 Collective societies
- § 7:40 Publication requirements

II. TRADE-MARKS

A. TRADE-MARKS DEFINED

- § 7:41 In general
- § 7:42 Types of trade-marks—Typical trade-marks
- § 7:43 —Certification marks
- § 7:44 —Distinguishing guise
- § 7:45 —Official/prohibited marks

B. TRADE-MARK VS. TRADE-NAME

- § 7:46 In general
- § 7:47 Trade-mark rights
- § 7:48 Registered marks
- § 7:49 Unregistered/common law marks

C. OBTAINING A TRADE-MARK

- § 7:50 In general
- § 7:51 Registrability
- § 7:52 —Full name or surname
- § 7:53 —Clearly descriptive or deceptively misdescriptive
- § 7:54 —Confusing with a registered trade-mark
- § 7:55 —Protected geographical indication
- § 7:56 —Suggestive words
- § 7:57 —Fanciful words
- § 7:58 Application process
- § 7:59 Type of applicant—Sole proprietorships
- § 7:60 —Corporations
- § 7:61 Applicant address—Foreign
- § 7:62 Filing basis—Actual use
- § 7:63 —Made known in Canada

§ 7:64 —Proposed use

D. INTERNATIONAL TREATIES

§ 7:65 In general

E. TRADE-MARK CHALLENGES

§ 7:66 Infringement

§ 7:67 Passing-off

III. PATENTS

A. WHAT IS A PATENT?

§ 7:68 In general

§ 7:69 Patentable subject matter

§ 7:70 Patentability criteria—Utility

§ 7:71 —Novelty

§ 7:72 —Non-obviousness

§ 7:73 Term of right

§ 7:74 Procedural patent law

§ 7:75 First-to-file

§ 7:76 Request examination

§ 7:77 Foreign priority

§ 7:78 Divisional patent applications

B. LEGAL CHALLENGES AND PATENT RIGHTS

§ 7:79 In general

§ 7:80 Pre-grant examination

§ 7:81 Reissue

§ 7:82 Disclaimer

§ 7:83 Post-grant re-examination

§ 7:84 Infringement claim—Purposive construction

§ 7:85 Infringement

§ 7:86 Validity

§ 7:87 Deemed abandoned

C. INTERNATIONAL LAW AND TREATIES

§ 7:88 In general

D. PATENT RIGHTS AND BUSINESS TRANSACTIONS

§ 7:89 In general

TABLE OF CONTENTS

E. OFFENCES AND DAMAGES

§ 7:90 In general

F. OTHER RELATED INTELLECTUAL PROPERTY

§ 7:91 In general

§ 7:92 Industrial designs

§ 7:93 Plant varieties protection

§ 7:94 Trade secrets

CHAPTER 8. FRANCHISING

§ 8:1 Introduction

§ 8:2 What is a franchise?

I. FRANCHISE LEGISLATION IN CANADA

§ 8:3 Alberta

§ 8:4 Ontario

§ 8:5 Prince Edward Island

§ 8:6 Quebec

§ 8:7 New Brunswick

§ 8:8 Manitoba

§ 8:9 British Columbia

§ 8:10 Other provinces

II. ELEMENTS OF FRANCHISE LAW IN CANADA

§ 8:11 In general

§ 8:12 Right to associate

§ 8:13 Statutory duty of good faith and fair dealing

§ 8:14 The common law duty of good faith

§ 8:15 Franchisor's associates, brokers and agents

III. OBLIGATION TO DISCLOSE

§ 8:16 Presale disclosure

IV. APPLICATION OF THE LEGISLATION

§ 8:17 Exemptions from the definition of franchisor

§ 8:18 Statutory exemptions to the obligation to disclose

V. SCOPE OF DISCLOSURE

§ 8:19 "Material fact" and the standard of disclosure

§ 8:20 Material facts as prescribed

§ 8:21 Background of the franchisor

- § 8:22 Background of the officers and directors of the franchisor
- § 8:23 Litigation
- § 8:24 Bankruptcy
- § 8:25 Financial statements
- § 8:26 —Large franchise exemption
- § 8:27 Required statements
- § 8:28 Alternative dispute resolution
- § 8:29 Costs to establish and operate the franchise
- § 8:30 Earnings projections
- § 8:31 Financing
- § 8:32 Training
- § 8:33 Advertising fund
- § 8:34 Restrictions on suppliers, products, or market
- § 8:35 Volume rebates
- § 8:36 Trademarks
- § 8:37 Business licenses
- § 8:38 Personal participation
- § 8:39 Exclusive territory, quotas, and encroachment
- § 8:40 Franchisee closures
- § 8:41 Existing franchisees
- § 8:42 Restrictions on renewal, termination, or transfer
- § 8:43 Certificate
- § 8:44 Site-specific disclosure
- § 8:45 Disclosure upon renewal
- § 8:46 Common material facts which are not in the regulation

VI. FORM OF DISCLOSURE

- § 8:47 Single document and sufficiency of disclosure
- § 8:48 Using a wrap-around and adapting disclosure documents from other jurisdictions
- § 8:49 Electronic disclosure

VII. METHOD OF DELIVERY AND RECEIPT

- § 8:50 Delivery
- § 8:51 Receipt

VIII. STATEMENT OF MATERIAL CHANGE

- § 8:52 Statement of material change

IX. CORRECTING A DISCLOSURE DOCUMENT AFTER THE FACT

- § 8:53 Correcting a disclosure document

TABLE OF CONTENTS

X. NONWAIVER OF RIGHTS, FORUM, AND JURISDICTION

§ 8:54 Nonwaivers

XI. REMEDIES AND FRANCHISE DISPUTE RESOLUTION

§ 8:55 Remedies and dispute resolution

XII. FRANCHISING AND CANADIAN PRIVACY LAWS

§ 8:56 Introduction

§ 8:57 Legislation

§ 8:58 Franchisee information

§ 8:59 —Analysis and recommendations

§ 8:60 Consumer information

§ 8:61 —Analysis and recommendations

§ 8:62 Sale of a franchise system

§ 8:63 —Analysis and recommendations

§ 8:64 Franchisors' and franchisees' privacy obligations to employees

§ 8:65 —Recommendations

§ 8:66 The impact of Canada's anti-spam legislation

XIII. STRUCTURING AN EXPANSION INTO CANADA

§ 8:67 Structuring the expansion

XIV. REGULATORY REFORM: DIFFERENCES BETWEEN THE PROVINCIAL ACTS AND THE SHAPE OF THINGS TO COME

§ 8:68 Differences

§ 8:69 Accessibility for Ontarians with disabilities

§ 8:70 Bill 45: Healthy Menu Choices Act (Ontario)

XV. THE CANADIAN FRANCHISE AGREEMENT

§ 8:71 Canadian franchise agreement

§ 8:72 Canadianization of the document

§ 8:73 Good faith and fair dealing

§ 8:74 Royalties

§ 8:75 Advertising fund

§ 8:76 Trademarks

§ 8:77 Privacy legislation

- § 8:78 Noncompetition and nonsolicitation provisions
- § 8:79 Interest on overdue amounts
- § 8:80 Nonwaiver of rights, governing law, forum, and venue
- § 8:81 Remedies
- § 8:82 Waiver of jury trials
- § 8:83 Guarantees

XVI. CONCLUSION

- § 8:84 Conclusion

CHAPTER 9. CONSUMER TRANSACTIONS

I. CONSUMERS' STATUTORY RIGHTS

- § 9:1 Constitutional division of power
- § 9:2 Who is a "consumer"?
- § 9:3 Farmers and fishers
- § 9:4 Accessibility for Ontarians with Disabilities

II. PRIVACY LAWS

- § 9:5 Rights to see one's file of personal information
- § 9:6 Personal information, marketing, and exports of data

III. CONSUMER CREDIT REPORTS

- § 9:7 Social Insurance Numbers (SIN)
- § 9:8 Required consents to obtain consumer credit reports
- § 9:9 Required replies if credit denied or costs increased

IV. SALES OF GOODS AND SERVICES

- § 9:10 Sale of Goods Act implied warranties
- § 9:11 Consumer protection statutory implied warranties
- § 9:12 Cooling-off periods
- § 9:13 International sale of goods convention adoption in Canadian law
- § 9:14 Statutory requirements to maintain parts inventory
- § 9:15 Gift cards
- § 9:16 Telemarketing and do-not-call lists
- § 9:17 Anti-Spam legislation

V. COST OF CREDIT DISCLOSURE REQUIREMENTS

- § 9:18 Bank Act (Canada) requirements
- § 9:19 Criminal interest rates and "payday lenders"

TABLE OF CONTENTS

- § 9:20 Consumer protection statutory requirements
- § 9:21 —Conditional sale contracts and “fixed” credit agreements
- § 9:22 —Leases of goods
- § 9:23 —Credit cards and lines of credit or “open” credit agreements
- § 9:24 High-Cost Lender Licences

VI. REMEDIES TO CONSUMERS FOR BREACH OF CONSUMER PROTECTION STATUTES

- § 9:25 In general
- § 9:26 Right of cancellation of consumer agreement
- § 9:27 Refund for unsolicited goods or services
- § 9:28 Refund for illegal payments
- § 9:29 Rescission for unfair practices
- § 9:30 Credit card chargeback
- § 9:31 Remedies of the director and the minister

VII. MOTOR VEHICLES

- § 9:32 Vehicle registrations
- § 9:33 Consumer protection and motor vehicle dealer statutory disclosures
- § 9:34 Repair and storage liens disclosures to consumers

VIII. CONSUMER DEBTOR'S RIGHTS

- § 9:35 Exemptions from seizure by judgment creditors and in bankruptcy
- § 9:36 PPSA caps on secured creditor remedies against consumer good collateral
- § 9:37 Civil Code limitations on creditor remedies against consumers
- § 9:38 Regulation of collection agencies
- § 9:39 Consumer bankruptcies and proposals to creditors
- § 9:40 Farm Debt Mediation Act (Canada)

CHAPTER 10. ADVERTISING, MARKETING AND PRODUCT REGULATORY COMPLIANCE

I. INTRODUCTION

- § 10:1 Introduction
- § 10:2 The Competition Act
- § 10:3 Canada Consumer Product Safety Act

- § 10:4 Federal anti-spam legislation
- § 10:5 Provincial and territorial legislation
- § 10:6 Advertising Standards Canada
- § 10:7 —The Code—Accuracy and clarity
- § 10:8 — —Price claims
- § 10:9 — —Safety
- § 10:10 — —Unacceptable deceptions and portrayals

II. WHAT IS MISLEADING ADVERTISING?

- § 10:11 In general
- § 10:12 Supreme Court of Canada: General impression
- § 10:13 Performance claims
- § 10:14 Comparative claims
- § 10:15 Misleading price advertising
- § 10:16 Claim substantiation
- § 10:17 Enforcement
- § 10:18 Tall tales

III. PROMOTIONS

- § 10:19 Promotional contests
- § 10:20 —Criminal code
- § 10:21 —Competition Act
- § 10:22 —Internet
- § 10:23 —Quebec
- § 10:24 —Rules

IV. PACKAGING AND LABELLING

- § 10:25 In general
- § 10:26 The Consumer Packaging and Labelling Act
- § 10:27 Textile labelling
- § 10:28 Stuffed textiles
- § 10:29 Safety warnings
- § 10:30 Country-of-origin marking, “Made in Canada” and “Product of Canada” claims
- § 10:31 The Charter of the French Language

V. PRODUCT-SPECIFIC LAWS AND REGULATIONS

- § 10:32 In general
- § 10:33 Drugs
- § 10:34 Natural Health Products
- § 10:35 Drugs samples
- § 10:36 Drugs and Natural Health Products—Provincial legislation
- § 10:37 Medical devices

TABLE OF CONTENTS

- § 10:38 Pest control products
- § 10:39 Food
- § 10:40 —Organic certification
- § 10:41 —Test market products
- § 10:42 —Made in Canada; Product of Canada
- § 10:43 —Nutrition facts
- § 10:44 —Allergen labelling
- § 10:45 Cosmetics
- § 10:46 Alcohol
- § 10:47 Tobacco
- § 10:48 Jewellery
- § 10:49 —Precious metals
- § 10:50 Children's products—Lead and phthalates
- § 10:51 Electrical
- § 10:52 Automotive
- § 10:53 Pet food

VI. PRODUCT RECALLS

- § 10:54 In general

VII. OTHER ISSUES

- § 10:55 Rebates
- § 10:56 Endorsements and testimonials
- § 10:57 Gift cards
- § 10:58 Coupons (trading stamps)
- § 10:59 Telemarketing and the do-not-call list
- § 10:60 Green advertising
- § 10:61 —Considerations
- § 10:62 Internet advertising
- § 10:63 Intellectual property
- § 10:64 Privacy legislation
- § 10:65 Provincial stewardship programs

VIII. CONCLUSION

- § 10:66 Conclusion

CHAPTER 11. E-COMMERCE

- § 11:1 Introduction

I. ELECTRONIC AGREEMENTS

- § 11:2 Electronic commerce legislation
- § 11:3 Writing, signature, and other requirements
- § 11:4 —Use of electronic information or document not mandatory

- § 11:5 —Functional equivalency between written and electronic documents
- § 11:6 —Legal requirement to provide information or document in writing
- § 11:7 —Legal requirement regarding original documents
- § 11:8 —Legal requirement that document be signed
- § 11:9 —Legal requirement regarding retention of documents
- § 11:10 —Legal requirement regarding copies
- § 11:11 —Writing, signature, and other requirements in the Province of Québec
- § 11:12 Electronic transactions
- § 11:13 —Formation and operation of electronic agreements
- § 11:14 —Electronic agents
- § 11:15 —Timing and location of sending electronic information or electronic documents
- § 11:16 Nonapplication of Ontario Electronic Commerce Act, 2000 (OECA)
- § 11:17 Enforceability of online agreements
- § 11:18 —Click-wrap agreements
- § 11:19 —Browse-wrap agreements

II. CONSUMER PROTECTION

- § 11:20 In general
- § 11:21 Application of the *Ontario Consumer Protection Act, 2002* (OCPA)
- § 11:22 Application of the *Ontario Consumer Protection Act, 2002* (OCPA)—Total potential payment obligations
- § 11:23 Application of the *Ontario Consumer Protection Act, 2002* (OCPA)—Disclosure of particular information
- § 11:24 Application of the *Ontario Consumer Protection Act, 2002* (OCPA)—Express opportunity to accept or decline agreement
- § 11:25 —Copy of Internet agreement
- § 11:26 —Cancellation of Internet agreement
- § 11:27 —Other applicable provisions
- § 11:28 Application of the *Québec Consumer Protection Act* (QCPA)

III. OTHER APPLICABLE LAWS

- § 11:29 Competition law
- § 11:30 Privacy law
- § 11:31 French language requirements

IV. UNSOLICITED COMMUNICATIONS

- § 11:32 Canadian legislation addressing the problem of unsolicited email communications (i.e., spam)

TABLE OF CONTENTS

- § 11:33 The Canadian response to the problem of unsolicited telephone and facsimile communications (i.e., telemarketing)

V. DOMAIN NAMES

- § 11:34 In general
- § 11:35 .ca domain
- § 11:36 —Canadian presence requirements
- § 11:37 —Domain name search
- § 11:38 —Registrars
- § 11:39 —Registration period
- § 11:40 —Admissible domain names
- § 11:41 —Responsibility for selected domain name
- § 11:42 —Rejection, refusal to register, suspension, and cancellation by CIRA
- § 11:43 Dispute resolution mechanisms
- § 11:44 —Eligible complainants
- § 11:45 —Basis for complaint
- § 11:46 —First part of basis for complaint
- § 11:47 —Second part of basis for complaint (legitimate interest)
- § 11:48 —Third part of basis for complaint (bad faith)
- § 11:49 —Onus and remedies
- § 11:50 —Dispute resolution process

VI. TRADEMARK LAW AND COPYRIGHT LAW

- § 11:51 In general
- § 11:52 Domain names and trademarks
- § 11:53 Websites, trademarks, and copyright
- § 11:54 Meta tags, trademarks, and copyright

VII. PATENTABILITY OF E-COMMERCE TECHNOLOGY

- § 11:55 In general

VIII. JURISDICTION

- § 11:56 Personal jurisdiction
- § 11:57 Applicability of Canadian laws to interjurisdictional e-commerce

IX. THE TORT OF DEFAMATION FROM AN E-COMMERCE PERSPECTIVE

- § 11:58 Introduction

- § 11:59 Jurisdiction issue
- § 11:60 Anonymity issue
- § 11:61 Liability of Internet service providers for defamation
- § 11:62 Is communication via the Internet a broadcast?
- § 11:63 Damages for defamation

X. CRIMINAL LIABILITY

- § 11:64 In general
- § 11:65 Impact of the *Criminal Code*
- § 11:66 —Theft
- § 11:67 —Fraud
- § 11:68 —Theft of a telecommunication service
- § 11:69 —Unauthorized use of a computer
- § 11:70 —Unauthorized use of passwords
- § 11:71 —Mischief
- § 11:72 —Restrictions on speech
- § 11:73 —Liability of Internet Service Provider (ISP)

CHAPTER 12. FINANCIAL INSTITUTIONS

- § 12:1 Introduction

I. CONSTITUTIONAL MATTERS

- § 12:2 Jurisdiction
- § 12:3 —Federal
- § 12:4 —Provincial
- § 12:5 —Dual

II. BANKS, INSURANCE COMPANIES AND TRUST COMPANIES

A. BANKS

- § 12:6 Legislation
- § 12:7 Common law; banking defined

B. INSURANCE COMPANIES

- § 12:8 Legislation
- § 12:9 Common law
- § 12:10 Quebec insurance companies

C. TRUST COMPANIES

- § 12:11 Legislation
- § 12:12 Common law
- § 12:13 Quebec trust companies

TABLE OF CONTENTS

§ 12:14 Credit Unions and Caisses populaires

III. REGULATION

§ 12:15 In general

§ 12:16 Office of the Superintendent of Financial Institutions

§ 12:17 Canadian Deposit Insurance Corporation

IV. PAYMENTS SYSTEM

§ 12:18 In general

§ 12:19 Bank of Canada

§ 12:20 Payments Canada

§ 12:21 —Membership

§ 12:22 —Automated Clearing Settlement System (ACSS)

§ 12:23 —Large Value Transfer System

§ 12:24 Electronic payments

§ 12:25 Third party payment systems

V. FINANCIAL INSTITUTION AS CORPORATION

§ 12:26 Ownership

§ 12:27 —Directors and officers

§ 12:28 —Capital structure

§ 12:29 —Shareholder equity

§ 12:30 Fundamental changes

§ 12:31 Dissolution

VI. RELATIONSHIP WITH CUSTOMER

§ 12:32 Fiduciary obligations

§ 12:33 Statutory obligations

§ 12:34 Dispute resolution

§ 12:35 Consumer protection

§ 12:36 Privacy

**CHAPTER 13. DEBT FINANCING
TRANSACTIONS**

I. INTRODUCTION

§ 13:1 In general

§ 13:2 Lending in Canada

**II. SPECIFIC ISSUES ARISING FROM LENDING
AND BORROWING IN CANADA**

§ 13:3 Withholding tax

- § 13:4 Interest
- § 13:5 —Describing the rate of interest
- § 13:6 —Annual rate of interest
- § 13:7 —Interest charged on arrears where secured by a mortgage on real property
- § 13:8 —Criminal rate of interest
- § 13:9 —Thin capitalization rules
- § 13:10 Guarantees
- § 13:11 Implications of limitations on demand loans in Ontario
- § 13:12 Limitations on commencing action against guarantors

III. SECURITY

- § 13:13 PPSA jurisdictions
- § 13:14 —Types of security
- § 13:15 —General security agreement
- § 13:16 —Specific security agreements
- § 13:17 —General assignment of accounts receivables and book debts
- § 13:18 —Assignment of insurance
- § 13:19 —Securities pledge agreement
- § 13:20 Non-PPSA jurisdiction: Quebec
- § 13:21 Federal jurisdiction—Bank Act security
- § 13:22 —Other federal legislation
- § 13:23 Real property security
- § 13:24 Priority rules: personal property
- § 13:25 Enforcement of security
- § 13:26 Legal opinions
- § 13:27 Conclusion

CHAPTER 14. COMPETITION (ANTITRUST) AND FOREIGN INVESTMENT REVIEW

- § 14:1 Introduction

I. CRIMINAL OFFENCES AND PENALTIES

- § 14:2 In general
- § 14:3 Conspiracy: section 45
- § 14:4 The offence of misleading advertising: section 52

II. REVIEWABLE PRACTICES

- § 14:5 The Competition Tribunal
- § 14:6 Refusal to deal: section 75
- § 14:7 Price maintenance

TABLE OF CONTENTS

- § 14:8 Exclusive dealing, tied selling, and market restriction: section 77
- § 14:9 Exclusive dealing, tied selling
- § 14:10 Market restriction
- § 14:11 Abuse of dominance: sections 78 and 79
- § 14:12 Pricing practices as abuses of dominance: predatory pricing and price discrimination
- § 14:13 Abuse of dominance: remedies

III. PRIVATE COURT ACTIONS AND CLASS ACTIONS

- § 14:14 Overview of civil actions

IV. MERGER REVIEW

- § 14:15 Overview and recent changes to procedure

V. FOREIGN INVESTMENT REVIEW

- § 14:16 Foreign investment review

CHAPTER 15. EMPLOYMENT AND LABOUR

I. JURISDICTIONAL ISSUES

- § 15:1 Federal enterprises vs. provincial enterprises
- § 15:2 Interplay between legislation and common law

II. THE HIRING PROCESS

- § 15:3 Human rights considerations
- § 15:4 Application forms
- § 15:5 Interviews
- § 15:6 Offer letters
- § 15:7 Background checks
- § 15:8 Pre-employment drug testing
- § 15:9 Use of social media and internet resources

III. CONTRACTS OF EMPLOYMENT

- § 15:10 In general
- § 15:11 Oral agreements
- § 15:12 Written contracts
- § 15:13 Key terms
- § 15:14 Changing the agreement

IV. CONTRACTOR AND CONSULTING AGREEMENTS

- § 15:15 General issues and recommendations

V. RESTRICTIVE COVENANTS

- § 15:16 Noncompetition clauses
- § 15:17 Nonsolicitation clauses
- § 15:18 No-dealing clauses
- § 15:19 Confidentiality clauses and agreements
- § 15:20 Clauses in employment agreements vs. clauses in termination agreements
- § 15:21 Effectiveness of policies

VI. EMPLOYMENT STANDARDS LEGISLATION

- § 15:22 In general
- § 15:23 Minimum wage
- § 15:24 Hours of work
- § 15:25 Overtime
- § 15:26 Layoffs
- § 15:27 Holidays
- § 15:28 Vacation
- § 15:29 Leaves of absence
- § 15:30 —Pregnancy and parental leave
- § 15:31 —Personal emergency leave
- § 15:32 —Family medical leave
- § 15:33 —Other leaves
- § 15:34 —Rules and obligations during periods of leave
- § 15:35 Directors' liability for wages
- § 15:36 Enforcement process

VII. TERMINATION OF EMPLOYMENT

- § 15:37 In general
- § 15:38 Terminating for cause
- § 15:39 —Contextual approach
- § 15:40 —Building the file: duty to warn
- § 15:41 —Potential grounds for just cause
- § 15:42 — —Dishonesty
- § 15:43 — —Harassment
- § 15:44 — —Performance issues
- § 15:45 — —Insolence; insubordination
- § 15:46 — —Absenteeism
- § 15:47 — —Impairment
- § 15:48 — —Conflicts of interest
- § 15:49 —Off-duty conduct
- § 15:50 Termination without cause
- § 15:51 —Statutory requirements
- § 15:52 —Common-law requirements
- § 15:53 —Notice of termination vs. pay in lieu

TABLE OF CONTENTS

- § 15:54 Mitigation
- § 15:55 Constructive dismissal
- § 15:56 Probationary employees

VIII. WRONGFUL DISMISSAL CLAIMS

- § 15:57 Jurisdiction
- § 15:58 Types of claims
- § 15:59 Remedies

IX. HUMAN RIGHTS

- § 15:60 No harassment or discrimination
- § 15:61 Protected grounds
- § 15:62 —Age
- § 15:63 —Disability
- § 15:64 —Family status
- § 15:65 —Religion
- § 15:66 —Marital status
- § 15:67 Hiring
- § 15:68 Drug and alcohol testing
- § 15:69 Enforcement
- § 15:70 Remedies

X. SALE OF A BUSINESS

- § 15:71 In general
- § 15:72 Continuation of employment
- § 15:73 Duty to provide termination pay or severance

XI. UNIONS

- § 15:74 In general
- § 15:75 Certification
- § 15:76 Collective agreements
- § 15:77 Strikes and lockouts
- § 15:78 Picketing
- § 15:79 Grievances
- § 15:80 Special labour relationships

XII. OCCUPATIONAL HEALTH AND SAFETY

- § 15:81 Jurisdiction
- § 15:82 Applicability
- § 15:83 Compliance
- § 15:84 Safety committee
- § 15:85 Hazardous materials
- § 15:86 Reporting

- § 15:87 Enforcement
- § 15:88 —Positive requirements in Ontario

XIII. EMPLOYMENT INSURANCE

- § 15:89 In general
- § 15:90 Premiums
- § 15:91 Qualifying for benefits
- § 15:92 Distinction between “misconduct” and just cause for dismissal

XIV. CRIMINAL LIABILITY

- § 15:93 Corporations
- § 15:94 Directors and officers
- § 15:95 Managers and supervisors

CHAPTER 16. NONSHARE CAPITAL CORPORATIONS: CHARITIES AND NOT-FOR-PROFITS

- § 16:1 Introduction

I. NONSHARE CAPITAL CORPORATE STATUTES

A. REFORM

- § 16:2 Federal
- § 16:3 Ontario

B. PRINCIPLE: GENERALLY, NO PERSONAL GAIN FOR MEMBERS

- § 16:4 In general

C. LIMITED LIABILITY

- § 16:5 Member immunity
- § 16:6 Exceptions to limited liability

D. INCORPORATION

- § 16:7 Applications for letters patent
- § 16:8 Corporate name

E. CAPACITY AND POWERS

- § 16:9 In general
- § 16:10 Ultra vires doctrine

TABLE OF CONTENTS

F. REGISTERED OFFICE AND RECORDS

§ 16:11 In general

G. CORPORATE FINANCE

§ 16:12 Borrowing

H. DIRECTORS AND OFFICERS

§ 16:13 Qualifications of directors

§ 16:14 —Canadian resident directors

§ 16:15 Voting for directors

§ 16:16 Duties of directors and officers

§ 16:17 Liability of directors

§ 16:18 Indemnification

§ 16:19 Directors' meetings

§ 16:20 —Notice

§ 16:21 Required officers

I. MEMBERS

§ 16:22 Membership

§ 16:23 Membership classes

§ 16:24 Transferability of membership

§ 16:25 Distribution on dissolution

§ 16:26 Members' meetings

§ 16:27 —Notice

J. PROXIES

§ 16:28 In general

K. FINANCIAL DISCLOSURE

§ 16:29 Financial statements

§ 16:30 Auditor

L. FUNDAMENTAL CHANGES

§ 16:31 Amendment of articles

§ 16:32 Amalgamation

§ 16:33 Continuance

M. DISSOLUTION AND LIQUIDATION

§ 16:34 Dissolution

§ 16:35 Liquidation

N. INVESTIGATION

§ 16:36 In general

O. MEMBER REMEDIES, OFFENCES, AND PUNISHMENT

§ 16:37 In general

II. CHARITABLE CORPORATIONS

§ 16:38 Charitable objects

§ 16:39 Application to register as a charity

§ 16:40 Regulation of charities (provincially and federally)

CHAPTER 17. IMMIGRATION

I. IMMIGRATION AND REFUGEE PROTECTION ACT

§ 17:1 In general

II. TEMPORARY ENTRY TO CANADA

§ 17:2 Work permit requirements

§ 17:3 Exemptions from work permit requirements

§ 17:4 Labour Market Impact Assessment from Employment and Social Development Canada and Employer Compliance

§ 17:5 Global Skills Strategy and Global Talent Stream Labour Market Impact Assessments

§ 17:6 North American Free Trade Agreement (NAFTA)

§ 17:7 —Business visitors

§ 17:8 —Professionals

§ 17:9 —Intra-company transferees

§ 17:10 —Traders and investors

§ 17:11 — —Traders

§ 17:12 — —Investors

§ 17:13 — —Application process

§ 17:14 Canada-European Union Comprehensive Economic and Trade Agreement (CETA)

§ 17:15 —Business Visitors for Investment Purposes

§ 17:16 —Intra-Corporate Transferees

§ 17:17 —Investors

§ 17:18 —Contractual Service Providers and Independent Professionals

§ 17:19 —Short Term Business Visitors

§ 17:20 Canadian interests category under IRPA

TABLE OF CONTENTS

- § 17:21 —Significant benefit; general guidelines
- § 17:22 —Significant benefit; entrepreneurs, self-employed candidates seeking to operate a business
- § 17:23 —Significant benefit; intra-company transferees
- § 17:24 —Significant benefit: emergency repair personnel and repair personnel for out-of-warranty equipment
- § 17:25 Offer of Employment to a Foreign National Exempt from a Labour Market Impact Assessment
- § 17:26 Post-Graduation Work Permit Program
- § 17:27 Temporary resident visas
- § 17:28 Medical examination requirements
- § 17:29 Procedure when applying for a work permit/eTA
- § 17:30 Duration of work permits and extensions
- § 17:31 Family members of work permit holders
- § 17:32 Tax considerations for foreign personnel temporarily transferred to Canada
- § 17:33 —Income tax
- § 17:34 —Withholding obligations of an employer
- § 17:35 —Canada Pension Plan

III. PERMANENT RESIDENCE

- § 17:36 In general
- § 17:37 Family Class
- § 17:38 Express Entry
- § 17:39 Federal Skilled Worker
- § 17:40 Federal Skilled Trades Program
- § 17:41 Canadian Experience Class
- § 17:42 Business Class—Self-Employed Persons
- § 17:43 Start-up Visa Program
- § 17:44 Immigrant Investor Venture Capital Pilot Program
- § 17:45 Provincial nominee programs
- § 17:46 —Alberta
- § 17:47 Alberta Opportunity Stream (AOS)
- § 17:48 Alberta Express Entry Stream
- § 17:49 The Self-Employed Farmer Stream
- § 17:50 Provincial nominee programs—British Columbia
- § 17:51 Strategic Projects—British Columbia
- § 17:52 Provincial nominee programs—Manitoba
- § 17:53 International Education Stream—Manitoba
- § 17:54 Provincial nominee programs—New Brunswick
- § 17:55 —Newfoundland and Labrador
- § 17:56 —Northwest territories
- § 17:57 —Nova Scotia
- § 17:58 —Ontario
- § 17:59 Employer Job Offer Category—Ontario

- § 17:60 —Foreign Worker Stream—Ontario
- § 17:61 —International Student Stream—Ontario
- § 17:62 —In-demand skills stream—Ontario
- § 17:63 Human Capital Category—Masters Graduate and
PhD Graduate Streams—Ontario
- § 17:64 —Express Entry—Ontario
- § 17:65 —Ontario’s Express Entry French-Speaking Skilled
Worker Stream—Ontario
- § 17:66 —Ontario’s Express Entry Human Capital Priorities
Stream—Ontario
- § 17:67 —Ontario’s express entry skilled trade stream
- § 17:68 Provincial nominee programs—Prince Edward Island
- § 17:69 —Saskatchewan
- § 17:70 —Yukon
- § 17:71 Quebec Economic Programs

IV. TRANSITION TO CITIZENSHIP

- § 17:72 Atlantic Immigration Pilot
- § 17:73 In general

CHAPTER 18. REAL ESTATE

I. OVERVIEW

- § 18:1 Jurisdiction
- § 18:2 Registration systems
- § 18:3 Acquiring real estate interests
- § 18:4 Notice of real estate interests
- § 18:5 Electronic registration
- § 18:6 Real estate brokers

II. CONVEYANCING

- § 18:7 Forms of contracts
- § 18:8 Considerations and issues in contracts
- § 18:9 Closing
- § 18:10 Closing costs
- § 18:11 Land transfer taxes

III. DUE DILIGENCE

- § 18:12 Title
- § 18:13 Title-related searches
- § 18:14 Surveys
- § 18:15 New developments
- § 18:16 Subdivision

TABLE OF CONTENTS

IV. MORTGAGE FINANCING

- § 18:17 Institutional lenders
- § 18:18 Security
- § 18:19 Other types of loans

V. TITLE INSURANCE

- § 18:20 In general
- § 18:21 Policy types
- § 18:22 Exclusions and exceptions
- § 18:23 Endorsements

VI. LEASING

- § 18:24 In general
- § 18:25 Residential
- § 18:26 Retail, commercial, industrial leases
- § 18:27 Ground leases

VII. CONDOMINIUMS

- § 18:28 In general
- § 18:29 Common elements condominium corporation (Ontario)
- § 18:30 Phased condominium corporation (Ontario)
- § 18:31 Phased strata corporations (British Columbia)
- § 18:32 Phased condominium corporations (Alberta)
- § 18:33 Phased condominium corporations (Saskatchewan)
- § 18:34 Phased condominium (Quebec)
- § 18:35 Vacant land condominium corporation (Ontario)
- § 18:36 Bare land strata corporations (British Columbia)
- § 18:37 Standard condominiums (Saskatchewan)
- § 18:38 Bare land condominiums (Saskatchewan)
- § 18:39 Horizontal divided co-ownership (Quebec)
- § 18:40 Leasehold condominium corporation (Ontario)
- § 18:41 Leasehold strata corporation (British Columbia)
- § 18:42 Coemphyteusis (Quebec)

VIII. QUEBEC

- § 18:43 The notarial profession
- § 18:44 Beneficial and legal title and nominees
- § 18:45 The requirement for resolutions for deeds being registered
- § 18:46 Registration of offers
- § 18:47 Eviction of tenants

CHAPTER 19. ENVIRONMENTAL

- § 19:1 Constitutional jurisdiction over the environment

I. FEDERAL ENVIRONMENTAL LAWS

- § 19:2 Environmental protection laws
- § 19:3 Transportation of dangerous goods
- § 19:4 Species at risk
- § 19:5 Control of toxic substances
- § 19:6 Environmental assessment

II. PROVINCIAL ENVIRONMENTAL LAWS

- § 19:7 Environmental protection laws
- § 19:8 Climate change law and policy

III. COMMON LAW

- § 19:9 In general
- § 19:10 Nuisance
- § 19:11 Riparian rights
- § 19:12 Trespass
- § 19:13 Strict liability
- § 19:14 Negligence

IV. CIVIL LAW (QUEBEC)

- § 19:15 In general
- § 19:16 Civil liability
- § 19:17 Abuse of rights
- § 19:18 Neighbourhood disturbance
- § 19:19 Riparian rights

CHAPTER 20. CONSTRUCTION

I. BIDDING AND TENDERING

- § 20:1 Introduction
- § 20:2 Bidding prior to *Ron Engineering*
- § 20:3 Change in the law: *Ron Engineering*
- § 20:4 Bidding after *Ron Engineering*
- § 20:5 Subcontractor bidding
- § 20:6 Summary
- § 20:7 Requests for proposals
- § 20:8 Public procurement

II. CONSTRUCTION CONTRACTS

- § 20:9 In general

III. WORKERS' COMPENSATION AND CONSTRUCTION SAFETY

- § 20:10 Introduction

TABLE OF CONTENTS

- § 20:11 Workers' compensation
- § 20:12 Construction health and safety

IV. CONSTRUCTION BONDING

- § 20:13 Introduction
- § 20:14 Bid bonds
- § 20:15 Performance bonds
- § 20:16 Labour and material payment bonds

V. CONSTRUCTION LIENS

- § 20:17 Introduction
- § 20:18 Lien—Preserving the lien
- § 20:19 —Perfecting the lien
- § 20:20 —Vacating the lien
- § 20:21 Holdback
- § 20:22 Trust fund

VI. PUBLIC-PRIVATE PARTNERSHIPS

- § 20:23 Introduction
- § 20:24 Background
- § 20:25 The public private partnership
- § 20:26 The federal government
- § 20:27 The provinces
- § 20:28 Municipalities
- § 20:29 Document standardization
- § 20:30 Legislative framework
- § 20:31 Conclusion

**CHAPTER 21. ABORIGINAL PEOPLES
(FIRST NATIONS)**

- § 21:1 Introduction

I. ABORIGINAL ISSUES IN CANADA

- § 21:2 Definition of “Aboriginal peoples of Canada” and other related terms
- § 21:3 Definitions of Aboriginal rights and title
- § 21:4 History of Aboriginal rights in Canada; no conquest
- § 21:5 History of Aboriginal rights in Canada—Royal Proclamation of 1763
- § 21:6 Treaty-making in Canada
- § 21:7 Aboriginal rights and title in Canada; continuing Aboriginal rights and title where no treaties
- § 21:8 —Legal test for proving Aboriginal title

- § 21:9 —Legal test for proving Aboriginal rights
- § 21:10 Indian Act
- § 21:11 Constitutional protection of Aboriginal and treaty rights

II. DUTY TO CONSULT AND ACCOMMODATE

- § 21:12 Background to the duty to consult and accommodate
- § 21:13 Test for the duty to consult and accommodate
- § 21:14 —Who has the duty to consult and accommodate?
- § 21:15 —Triggers for the duty to consult and accommodate
- § 21:16 —Duty to consult in treaty areas
- § 21:17 —Duty to consult with Métis
- § 21:18 —Scope of the duty to consult and accommodate
- § 21:19 —Implications of a breach of the duty to consult
- § 21:20 The role of project proponents in consultation
- § 21:21 —Reasons for proponents to be involved in consultation
- § 21:22 —Due diligence of project proponents
- § 21:23 United Nations Declaration on the Rights of Indigenous Peoples (UNDRIP)
- § 21:24 The role of project proponents in consultation—Tips for effective consultation

III. ABORIGINAL-INDUSTRY PARTNERSHIPS

- § 21:25 Impact benefit agreements
- § 21:26 —Advantages of impact benefit agreements
- § 21:27 —Typical clauses in IBAs
- § 21:28 —Issues to consider in negotiating impact benefit agreements
- § 21:29 Joint ventures

IV. ON-RESERVE DEVELOPMENTS

- § 21:30 Indian reserves in Canada
- § 21:31 Surrender requirements
- § 21:32 Leasing or using reserve lands
- § 21:33 Application of provincial laws on reserves

CHAPTER 22. PRIVACY

- § 22:1 Privacy laws in Canada
- § 22:2 —PIPEDA
- § 22:3 —Alberta
- § 22:4 —British Columbia
- § 22:5 —Quebec
- § 22:6 —Manitoba

TABLE OF CONTENTS

- § 22:7 Transactional privacy issues
- § 22:8 —Due diligence and disclosure of personal information
- § 22:9 —Sale of business
- § 22:10 —Personal health information
- § 22:11 —International transfers of personal information
- § 22:12 Public sector privacy legislation
- § 22:13 Privacy laws—Common law
- § 22:14 Privacy laws in the Canadian workplace
- § 22:15 —Federally-regulated public-sector workplaces
- § 22:16 —Federally-regulated private-sector workplaces
- § 22:17 —Ontario public sector
- § 22:18 —Ontario municipalities
- § 22:19 —Ontario private sector
- § 22:20 —Alberta public sector
- § 22:21 —Alberta private sector
- § 22:22 —British Columbia public sector
- § 22:23 —British Columbia private sector
- § 22:24 —Quebec public sector
- § 22:25 —Quebec private sector
- § 22:26 —Other statutes
- § 22:27 Unionized workplaces
- § 22:28 Workplace specific privacy issues
- § 22:29 —Recruiting employees
- § 22:30 —Medical notes, records and examinations
- § 22:31 —Access to employee files
- § 22:32 —RFIDs
- § 22:33 —GPS
- § 22:34 —E-mail and computer use
- § 22:35 —Video surveillance
- § 22:36 —Revealing employee names to the public
- § 22:37 Health-specific privacy issues in Canada
- § 22:38 —Provincial health privacy legislation
- § 22:39 Transborder flow of personal information and personal health information
- § 22:40 —Provincial privacy legislation
- § 22:41 The advent of Canadian anti-spam legislation

CHAPTER 23. DOING BUSINESS WITH GOVERNMENT

- § 23:1 Introduction

I. PROCUREMENT OF GOODS AND SERVICES BY GOVERNMENTS IN CANADA

- § 23:2 In general

- § 23:3 Canadian Free Trade Agreement
- § 23:4 NAFTA, CETA, TPP, WTO and other international trade agreements
- § 23:5 Federal government's standard acquisition clauses and conditions
- § 23:6 Provincial procurement rules and policies
- § 23:7 Common-law rules on procurement
- § 23:8 Green procurement

II. WORKING IN COLLABORATION WITH GOVERNMENT

- § 23:9 Alternative service delivery arrangements

III. FREEDOM OF INFORMATION AND PROTECTION OF PRIVACY

- § 23:10 Protection of personal information
- § 23:11 Freedom of information federally
- § 23:12 Freedom of information provincially
- § 23:13 Implications for contracts with governments

IV. LEGISLATION ON LOBBYING AND GOVERNMENT ACCOUNTABILITY

- § 23:14 In general
- § 23:15 Application to employees and outside consultants
- § 23:16 Types of communication covered by lobbying legislation
- § 23:17 Requirements for registration
- § 23:18 Municipal lobbying requirements

V. INTELLECTUAL PROPERTY LAW

- § 23:19 Application of copyright law to the Crown
- § 23:20 Protecting government marks and logos
- § 23:21 Protecting government patents

VI. FINANCIAL ADMINISTRATION ACT

- § 23:22 Prohibitions on indemnity and guarantees by governments
- § 23:23 Implications for contracts with governments

VII. GOVERNMENT EFFORTS TO SUPPORT BUSINESS

- § 23:24 Initiatives permitted

CHAPTER 24. COMMERCIAL LITIGATION AND ARBITRATION

§ 24:1 Introduction

I. COURT SYSTEM

- § 24:2 Court system
- § 24:3 Superior courts and provincial courts
- § 24:4 —Ontario Superior Court of Justice
- § 24:5 — —Divisional court (styled as the Ontario Superior Court of Justice (Divisional Court))
- § 24:6 — —Commercial List (styled as the Ontario Superior Court of Justice (Commercial List))
- § 24:7 — —Small claims court (styled as the Ontario Superior Court of Justice (Small Claims))
- § 24:8 —Ontario Court of Justice
- § 24:9 —Ontario Court of Appeal
- § 24:10 Federal Court of Canada
- § 24:11 Administrative tribunals and boards
- § 24:12 Supreme Court of Canada

II. JUDICIARY

§ 24:13 Judiciary

III. LIMITATION PERIODS

§ 24:14 Limitation periods

IV. LEGAL PROCEEDINGS

- § 24:15 Legal proceedings
- § 24:16 Preliminary considerations before commencing court proceedings
- § 24:17 —Arbitration requirement
- § 24:18 —Administrative tribunal matters
- § 24:19 —Conflict of laws and forum choice
- § 24:20 —Sealing and confidentiality orders
- § 24:21 Commencement of court proceedings
- § 24:22 —Commencing proceeding by way of action and application
- § 24:23 —Amount claimed
- § 24:24 —Service of originating process
- § 24:25 Juries
- § 24:26 Procedure for applications
- § 24:27 —Appearance
- § 24:28 —Responding affidavit material and cross-examinations

- § 24:29 —Other evidence
- § 24:30 —Hearing
- § 24:31 Procedure for actions
- § 24:32 —Statement of defence, counterclaims, third-party claims, and pleadings
- § 24:33 —Documentary discovery
- § 24:34 —Examinations for discovery
- § 24:35 —Confidentiality of documentary discovery and examinations for discovery
- § 24:36 Mediation
- § 24:37 Pre-Trial Conference
- § 24:38 Procedure for actions—Experts
- § 24:39 —Trial
- § 24:40 Motions
- § 24:41 Orders
- § 24:42 Appeals
- § 24:43 Legal costs

V. CLASS ACTIONS

- § 24:44 Background and purpose
- § 24:45 National class actions
- § 24:46 Commencement of class action and certification
- § 24:47 Notice to class members and opting out
- § 24:48 Limitation period suspension
- § 24:49 Legal representation of class members
- § 24:50 Conduct of a class action
- § 24:51 Settlement
- § 24:52 Costs

VI. COMMERCIAL ARBITRATION IN CANADA

- § 24:53 Background
- § 24:54 Arbitration agreement
- § 24:55 Legislation and procedure
- § 24:56 Domestic arbitrations under the Canadian Uniform Arbitration Act
 - § 24:57 —Court intervention
 - § 24:58 —Arbitral tribunal
 - § 24:59 —Procedure
 - § 24:60 —Award and settlement
- § 24:61 International commercial arbitration under the UNCITRAL Model Law

VII. ENFORCEMENT OF JUDGMENTS

- § 24:62 Enforcement of judgments

TABLE OF CONTENTS

§ 24:63 Enforcement of foreign judgments

CHAPTER 25. DEBTOR AND CREDITOR

§ 25:1 Introduction

I. INITIAL CONSIDERATIONS

- § 25:2 Precautionary steps and early warning signs
- § 25:3 —Preliminary steps and considerations
- § 25:4 —Information gathering
- § 25:5 —Warning signs
- § 25:6 Considerations before commencing proceedings
- § 25:7 —Searches
- § 25:8 — —Corporate search (provincial or federal)
- § 25:9 — —Personal property search (provincial)
- § 25:10 — —Bank Act (Canada)
- § 25:11 — —Bankruptcy and Insolvency Act (Canada)
- § 25:12 — —Execution searches (provincial)
- § 25:13 — —Litigation searches (provincial)
- § 25:14 — —Real property searches (provincial)
- § 25:15 — —Driver's Licence/Motor vehicles search (provincial)
- § 25:16 — —Miscellaneous searches
- § 25:17 —Limitation periods
- § 25:18 —Choice of Law in Contract
- § 25:19 Demanding payment
- § 25:20 —Quebec
- § 25:21 —Secured creditors
- § 25:22 Preclaim options
- § 25:23 —Preclaim examinations
- § 25:24 —Self-help remedies

II. COMMENCING PROCEEDINGS

- § 25:25 Statements of claim
- § 25:26 Procedural options
- § 25:27 Typical defences
- § 25:28 Settlement of a claim
- § 25:29 Cost sanctions

III. STATUTORY REMEDIES

- § 25:30 In general
- § 25:31 Absconding Debtors Act
- § 25:32 Interim preservation of property
- § 25:33 Interim recovery of personal property
- § 25:34 Civil Enforcement Act (Alberta)

- § 25:35 Court Order Enforcement Act (British Columbia)
- § 25:36 The Enforcement of Money Judgments Act
(Saskatchewan)
- § 25:37 Certificate of pending litigation/certificate of lis
pendens
- § 25:38 *Anton Piller* order
- § 25:39 *Mareva* injunction
- § 25:40 Appointment of an interim receiver

IV. OBTAINING JUDGMENT

- § 25:41 Default judgment
- § 25:42 Summary judgment
- § 25:43 Enforcing a judgment
- § 25:44 —Examinations in aid of execution
- § 25:45 —Seizure and sale of the debtor's property
- § 25:46 —Garnishment
- § 25:47 Exemptions from execution
- § 25:48 —Provincial and territorial exemptions
- § 25:49 —Federal exemption
- § 25:50 Distribution of proceeds
- § 25:51 Enforcement of foreign judgments

V. ALTERNATIVE ENFORCEMENT OPTIONS

- § 25:52 In general
- § 25:53 Oppression remedy
- § 25:54 Fraudulent conveyances, preferences, and reviewable
transactions
- § 25:55 —Fraudulent Conveyances Act
- § 25:56 —Fraudulent preferences
- § 25:57 Preferences and transfers at undervalue—Reviewable
transactions under the Bankruptcy and Insolvency
Act
- § 25:58 — —Fraudulent preferences
- § 25:59 — —Reviewable transactions
- § 25:60 Enforcement of security
- § 25:61 —Notice
- § 25:62 —Seizure
- § 25:63 —Sale
- § 25:64 —Foreclosure
- § 25:65 —Receiverships
- § 25:66 —Direct collection
- § 25:67 —Forbearance agreement
- § 25:68 Mortgage remedies
- § 25:69 —General notice requirements
- § 25:70 —Power of sale

TABLE OF CONTENTS

- § 25:71 —Foreclosure
- § 25:72 —Judicial sale
- § 25:73 —Other remedies
- § 25:74 Construction liens
- § 25:75 Repair and Storage Liens
- § 25:76 Bankruptcy
- § 25:77 —Unsecured creditors
- § 25:78 —Secured creditors
- § 25:79 — —Deemed trust claims
- § 25:80 — —Super-priority for wages and pensions
- § 25:81 —Assignment of the trustee's right of action

VI. INSOLVENCY PROCEEDINGS

- § 25:82 In general
- § 25:83 Receivership
- § 25:84 Bankruptcy
- § 25:85 Proposal under the Bankruptcy and Insolvency Act
- § 25:86 Companies' Creditors Arrangement Act

CHAPTER 26. BANKRUPTCY AND INSOLVENCY

I. INTRODUCTION

- § 26:1 Basic legal framework

II. THE BANKRUPTCY AND INSOLVENCY ACT

- § 26:2 Purpose and definitions
- § 26:3 Security
- § 26:4 Regulation of security enforcement and the need to give "reasonable notice" in calling a loan
- § 26:5 Reorganization under the Bankruptcy and Insolvency Act

III. REORGANIZATION UNDER THE COMPANIES' CREDITORS ARRANGEMENT ACT

- § 26:6 In general
- § 26:7 Ability of suppliers to call for C.O.D. arrangements
- § 26:8 Flexibility of the CCAA
- § 26:9 —Restraints on third-party landlords
- § 26:10 —CCAA orders releasing third parties
- § 26:11 CCAA versus BIA
- § 26:12 Interprovincial recognition of CCAA orders

IV. RECEIVERSHIPS

- § 26:13 In general

- § 26:14 Private receivers
- § 26:15 Court-appointed receivers
- § 26:16 Differences between court and private receivership
- § 26:17 Urgent receivership appointment and sale approval orders
- § 26:18 —*Laurentian Bank of Canada v. World Vintners Corp.*
- § 26:19 —*All Fresh Beverages Canada Corp.*
- § 26:20 Reviewing sales by receivers
- § 26:21 Employee issues (*TCT Logistics*)
- § 26:22 —Developments prior to *TCT Logistics*
- § 26:23 —*TCT Logistics*
- § 26:24 —Trial decision
- § 26:25 —Court of Appeal decision
- § 26:26 —Supreme Court of Canada decision
- § 26:27 —The future after *TCT Logistics*
- § 26:28 The decision of the Ontario Court of Appeal in *Indalex*
- § 26:29 The decision of the Supreme Court of Canada in *Indalex*
- § 26:30 Costs
- § 26:31 Secured creditor taking steps to obtain possession of collateral
- § 26:32 Seizing collateral
- § 26:33 Taking steps to appoint private receiver
- § 26:34 Taking steps to apply for court appointment of receiver
- § 26:35 Need to determine statutory provision(s) that you will apply under
- § 26:36 —Receiverships under the Bankruptcy and Insolvency Act
- § 26:37 —Receiverships under the Courts of Justice Act (Ontario)
- § 26:38 —Need to determine whether you will proceed by way of application or motion in an action
- § 26:39 Selecting receiver and determining indemnity arrangements
- § 26:40 Determining who will be given notice
- § 26:41 —*Illidge (Trustee of) v. St. James Securities Inc.*
- § 26:42 Supporting materials including proposed form of appointment order
- § 26:43 Receiver taking possession of property subject to its appointment
- § 26:44 Use and protection of collateral pending judgment
- § 26:45 Selling collateral before judgment
- § 26:46 —Appeal period issues

TABLE OF CONTENTS

- § 26:47 —Status of transactions completed prior to expiration of appeal period
- § 26:48 — —*Re Regal Constellation Hotel Limited*

V. INTERNATIONAL INSOLVENCIES

- § 26:49 In general

VI. SOME SELECTED CANADIAN INSOLVENCY TOPICS

- § 26:50 In general

VII. INTELLECTUAL PROPERTY LICENSING ISSUES

- § 26:51 In general
- § 26:52 Privacy legislation
- § 26:53 Purchase and sale transactions in insolvency context
- § 26:54 Receiverships
- § 26:55 Corporate reorganizations
- § 26:56 License agreements
- § 26:57 —Insolvency of licensor
- § 26:58 —Bankruptcy
- § 26:59 —Corporate reorganizations
- § 26:60 Canadian bankruptcy and insolvency reform

VIII. ENVIRONMENTAL ISSUES

- § 26:61 In general
- § 26:62 Basis for environmental liability
- § 26:63 Impact of environmental legislation on secured creditors and insolvency practitioners
- § 26:64 Global realization agreements and insolvency legislation reform
- § 26:65 *Royal Oak Mines Inc.* case
- § 26:66 *AbitibiBowater Inc.* case
- § 26:67 *Northstar Aerospace Inc., Re.*, 2013 ONCA 600 (dated October 3, 2013)
- § 26:68 *Nortel Networks Corporation, Re.*, 2013 ONCA 599 (dated October 3, 2013)

IX. CORPORATE GOVERNANCE ISSUES

- § 26:69 In general
- § 26:70 Duties and responsibilities of corporate directors
- § 26:71 Duties of directors to creditors
- § 26:72 Oppression remedy

- § 26:73 *Peoples Department Stores v. Wise*; fact situation
- § 26:74 —Trial decision and the Quebec Court of Appeal decision
- § 26:75 —Supreme Court of Canada decision
- § 26:76 *Re Stelco*
- § 26:77 The Oppression Remedy in the Essar Steel case—2017
- § 26:78 Conclusions concerning the *Peoples* and *Stelco* decisions
- § 26:79 Need for directors to keep creditors in mind
- § 26:80 Chief restructuring officers (CROs)
- § 26:81 Statutory liability of directors
- § 26:82 Effective date of director resignations
- § 26:83 Claims against directors in reorganization proceedings
- § 26:84 Advising directors of a troubled company
- § 26:85 Protecting directors with insurance
- § 26:86 Protecting directors with indemnity trusts
- § 26:87 Protecting directors with court ordered charges

X. THE TREATMENT OF EQUIPMENT LESSORS IN COMMERCIAL REORGANIZATIONS

- § 26:88 In general
- § 26:89 Some jurisprudence
- § 26:90 Assigning Contracts in CCAA Proceedings
- § 26:91 Equipment Leases in a Receivership
- § 26:92 Treatment of equipment lessors in commercial reorganizations: case study
- § 26:93 —Issues considered
- § 26:94 —Decision

XI. THE TREATMENT OF SET-OFF IN CCAA CASES

- § 26:95 In general
- § 26:96 Types of set-off
- § 26:97 —Legal set-off
- § 26:98 —Equitable set-off
- § 26:99 Contractual set-off
- § 26:100 Jurisprudence with respect to set-off in the insolvency context and in particular in the context of CCAA proceedings
- § 26:101 —*Re Blue Range Resources Corp.*
- § 26:102 —*Re Canadian Airlines*
- § 26:103 —*Algoma Steel v. Union Gas*
- § 26:104 Scope and function of initial CCAA orders
- § 26:105 Hearing before Justice Farley

TABLE OF CONTENTS

- § 26:106 —Justice Farley’s decision
- § 26:107 Motion for leave to appeal
- § 26:108 Conclusion

XII. THE THIRTY-DAY GOODS REMEDY IN FAVOUR OF UNPAID SUPPLIERS

- § 26:109 In general
- § 26:110 When does the remedy arise?
- § 26:111 How does an unpaid supplier exercise the remedy?
- § 26:112 What are the limitation periods?
- § 26:113 What are the requirements as to the condition of the unpaid goods?
- § 26:114 Goods in the possession of the purchaser, trustee or receiver
- § 26:115 Identifiability
- § 26:116 Same state as on delivery
- § 26:117 What is the ranking of this remedy as against other types of claims against the goods?
- § 26:118 Is taking security still better approach for supplier than relying on thirty-day goods remedy?
- § 26:119 Conclusion

XIII. SINGLE CREDITOR PETITIONS

- § 26:120 In general

CHAPTER 27. LANGUAGE COMPLIANCE

- § 27:1 Legislation
- § 27:2 Charter of the French Language
- § 27:3 —Language of the workplace
- § 27:4 —Company and trade names
- § 27:5 —Invoices, receipts, and contracts
- § 27:6 —Advertising
- § 27:7 —Internet advertising
- § 27:8 —Francization

CHAPTER 28. CANADA’S ANTI-SPAM LEGISLATION (CASL)

I. INTRODUCTION

- § 28:1 What is Canada’s anti-spam legislation?
- § 28:2 Why does Canada have anti-spam legislation?

II. OVERVIEW

- § 28:3 What does CASL regulate?

- § 28:4 Why should individuals and organizations be concerned about CASL?
- § 28:5 What are CASL's penalties?
- § 28:6 What legislation creates the CASL regime?
- § 28:7 When did CASL start?
- § 28:8 Due diligence defence

III. COMMERCIAL ELECTRONIC MESSAGES UNDER CASL

- § 28:9 CEM overview
- § 28:10 CEM-related definitions
- § 28:11 Consent
- § 28:12 Express consent
- § 28:13 Implied consent
- § 28:14 CEM Information requirements
- § 28:15 Unsubscribe mechanism requirements
- § 28:16 CASL's CEM exemptions
- § 28:17 Using a third party to obtain consent and using consents obtained by third parties

IV. OTHER PROHIBITIONS UNDER CASL

- § 28:18 Alteration of transmission data
- § 28:19 Prohibition against unsolicited installation of computer programs
- § 28:20 Prohibition against aiding, inducing, procuring, etc.
- § 28:21 Prohibitions under Canada's Competition Act
- § 28:22 Prohibitions relating to personal information

V. CASL INTERPRETATION AND ENFORCEMENT

- § 28:23 In general
- § 28:24 International enforcement

CHAPTER 29. PENSIONS

I. GOVERNMENT SPONSORED RETIREMENT PLANS

- § 29:1 An Overview

II. EMPLOYER SPONSORED RETIREMENT PLANS—REGISTERED PENSION PLANS

- § 29:2 Types of registered pension plans
- § 29:3 Registration of pension plans
- § 29:4 Registration under Income Tax Act (Canada)

TABLE OF CONTENTS

- § 29:5 Registration under pension standards legislation
- § 29:6 Jurisdictional issues
- § 29:7 The administrator
- § 29:8 Minimum standards under pension standards legislation
- § 29:9 Minimum standards—British Columbia
- § 29:10 —Alberta
- § 29:11 —Saskatchewan
- § 29:12 —Manitoba
- § 29:13 Minimum Standards—Ontario
- § 29:14 —Quebec
- § 29:15 Minimum standards—Newfoundland and Labrador
- § 29:16 Minimum Standards—Nova Scotia
- § 29:17 —New Brunswick
- § 29:18 Minimum standards—Federal
- § 29:19 Disclosure obligations
- § 29:20 Funding and investment
- § 29:21 Amending and terminating a pension plan

**III. OTHER EMPLOYER SPONSORED
RETIREMENT SAVINGS PLANS**

- § 29:22 Supplemental pension plans
- § 29:23 Deferred profit sharing plans
- § 29:24 Group Registered Retirement Savings Plans

IV. TRANSACTIONAL ISSUES

- § 29:25 Share or Asset Purchase

Table of Laws and Rules

Table of Cases

Index